OCI 1 - 20964

OMB Approval No. For Internal Use Only Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: SEC NYSE Arca, Inc. Mail Processing 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Section Open-end Management Investment Company JUN 20 2016 3. Class of New Derivative Securities Product: Washington UC Investment Company Units 412 4. Name of Underlying Instrument: Beta Advantage Sustainable Global Equity Income 200 Index Securities and Exchange 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: JUN 21 2016 Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: RECEIVED **ESGW** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE, Nasdaq, OMX Nordic Helsinki, Euronext Paris, Euronext Amsterdam, Milan, Xetra, Euronext Brussels, Madrid, Tel Aviv, London, OMX Nordic Stockholm, Toronto, Oslo, Singapore, Hong Kong, Tokyo, OMX Nordic Copenhagen, 3. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. 16018890 Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Act Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form: Section 195-4 Rule 19b-4(e) June 17, 2016 Public SEC 2449 (1/99) Availability: JUN 2 0 2016



Via Overnight Mail

June 17, 2016

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re:

19b-4(e) - Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Columbia Sustainable U.S. Equity Income ETF (ESGS)
Columbia Sustainable International Equity Income ETF (ESGN)
Columbia Sustainable Global Equity Income ETF (ESGW)
First Trust RiverFront Dynamic Emerging Markets ETF (RFEM)
iShares MSCI China A ETF (CNYA)
Direxion Daily High Yield Bear 2X Shares (HYDD)
iShares iBoxx \$ High Yield ex Oil & Gas Corporate Bond ETF (HYXE)
iShares Fallen Angels USD Bond ETF (FALN)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Epiclosures

Securities and Exchange

Martha Redding Senior Counsel Assistant Secretary

SEC

Mail Processing

Section

JUN 20 2016

Washington DC

412

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 658 2938 F + 1 212 656 8101

Martha.Redding@theica.com

JUN 27 2016

Securities Exchange Act of 1934

RECEIVED

Section 195-4 Rule 19b-4(e)

Public

Act

Availability:

JUN 2 0 2016