

091-209192

For Internal Use Only	Submit 1 Original	OMB Approval No.:
Sec File No. 91 -	and 9 Copies	Expires:

Estimated average burden hours per response: 2.00

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:	SEC
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)	Mail Processing Section

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):	JUN 20 2016
Open-end Management Investment Company	Washington DC

3. Class of New Derivative Securities Product:	412
Investment Company Units	

4. Name of Underlying Instrument:	
Barclays US High Yield Fallen Angel 3% Capped Index	

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:	Securities and Exchange
Broad-Based	

6. Ticker Symbol(s) of New Derivative Securities Product:	JUN 21 2016
FALN	<b>RECEIVED</b>

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:	
OTC	

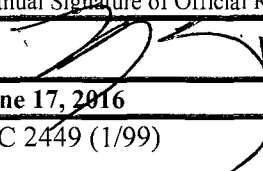
8. Settlement Methodology of New Derivative Securities Product:	16018885
Regular way trades settle on T+3/Book entry only held in DTC.	

9. Position Limits of New Derivative Securities Product (if applicable):	
Not applicable.	

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:	
Martha Redding	
Title:	
Associate General Counsel and Assistant Secretary	
Telephone Number:	
(212) 656-2938	

Manual Signature of Official Responsible for Form:	
	
June 17, 2016	
SEC 2449 (1/99)	

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 20 2016



Martha Redding  
Senior Counsel  
Assistant Secretary

New York Stock Exchange  
11 Wall Street  
New York, NY 10005  
T + 1 212 656 2838  
F + 1 212 656 8101  
Martha.Redding@nyse.com

**Via Overnight Mail**

June 17, 2016

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

SEC  
Mail Processing  
Section

JUN 20 2016

Washington DC  
412

Re: 19b-4(e) – Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- Columbia Sustainable U.S. Equity Income ETF (ESGS)**
- Columbia Sustainable International Equity Income ETF (ESGN)**
- Columbia Sustainable Global Equity Income ETF (ESGW)**
- First Trust RiverFront Dynamic Emerging Markets ETF (RFEM)**
- iShares MSCI China A ETF (CNYA)**
- Direxion Daily High Yield Bear 2X Shares (HYDD)**
- iShares iBoxx \$ High Yield ex Oil & Gas Corporate Bond ETF (HYXE)**
- iShares Fallen Angels USD Bond ETF (FALN)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,



Enclosures

**Securities and Exchange**

JUN 20 2016

RECEIVED

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 20 2016