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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Accord 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FOR Mail Processing Section Part I **Initial Listing Report** JUN 20 2016 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) Washington DC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 412 **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **Aptus Behavioral Momentum Index** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based Securities and Exchange 6. Ticker Symbol(s) of New Derivative Securities Product: BEMO IIIN 20 2016 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE RECEIVED 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele Title **Assistant Corporate Secretary**

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for

Date: June 10, 2016

SEC 2449 (6-01)

Act

Securities Exchange Act of 1934

Section

Rule

Public

Availability:

JUN 2 0 2016