

Form 1 Page 1 Execution Page

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT

Date filed (MM/DD/YY): OFFICIAL USE ONLY

WARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to keep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant

would violate the federal securities laws and may result in disciplinary, administrative, or criminal action.		
INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS		
	☐ APPLICATION ☐ AMENDMENT	
1.	. State the name of the applicant: International Securities Exchange, LLC	
2.		SEC
	60 Broad St. 26th Floor, New York, NY 10004 Mail F	rocessin
3.	Provide the applicant's mailing address (if different):	ection
	N/A JUN	<u>08</u> 2016
	Washi	gton DC
4.	. Provide the applicant's business telephone and facsimile number:	12
	212-943-2400 212-509-3955	
	(Telephone) (Facsimile)	
5.	. Provide the name, title, and telephone number of a contact employee:	
	Ronan Cahill, Senior Legal & Regulatory Associate, 212-897-8152	
	(Name) (Title) (Telephone Number)	
6.	Provide the name and address of counsel for the applicant:	
	Michael J. Simon	
	60 Broad Street, 26th Floor	
	New York, NY 10004	
7.	Provide the date applicant's fiscal year ends: December 31, 2012	
8.	Indicate legal status of applicant: ☐ Corporation ☐ Sole Proprietorship ☐ Par ☐ Limited Liability Company ☐ Other (specify):	
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status where incorporated, place where partnership agreement was filed or where applicant entity wa  (a) Date (MM/DD/YY): 05/30/12 (b) State/Country of formation: Delaware	
(c) Statute under which applicant was organized: Delaware Limited Liability Company Act. 6 Del. C section 18-101 et. seq		
<b>EXECUTION:</b> The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and Exchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the applicant's contact employee at the main address, or mailing address II different, given in Items 2 and 3. The undersigned, being first duly sworn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and applicant represent that the information and statements contained herein, including exhibits, schedules, or other documents attached hereto, and other information filed herewith, all of which are made a part hereof, are current, true, and complete.		
Date: 06/0 + 20/6 International Securities Exchange, LLC		
Ву:	11/0	
Subs	/ (Signature)  ubscribed and sworn before me this 7th day of June 2016 by Election Quel	cr
	(Month) (Year) (Notary Public) U  Commission expires 12 4 2018 County of Man 147 State of New York	T FONOR ON

This page must always be completed in full with original, manual signature and no prization Public - State of New York NO. 01GN6082263 Qualified in New York County My Commission Expires Dec 4, 20

Affix notary stamp or seal where applicable.



June 7, 2016

## VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Rc:

International Securities Exchange, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the International Securities Exchange, LLC's ("ISE" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE's website:

# **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

### **Index Options**

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

## Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

# **Marketing Material**

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

## Corporate

Board Members:

http://www.ise.com/about-ise/board-of-directors/

Constitution:

http://www.ise.com/media/63480/Second\_Amended\_and\_Restated\_Constitution\_-18-March-13-pdf

LLC Agreement:

http://www.ise.com/media/103275/Third-Amended-and-Restated-LLC-Agreement-for-ISE-LLC-18-Dec-2014-pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

# Members

List of ISE Members

http://www.ise.com/options/membership/exchange-members/

# Transactional Volume

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate

# Exhibit M

## Terminated Members

#### **Archelon LLC**

September 20, 2001 (EAM); July 5, 2002 (CMM) 411 South Wells Street, Suite 1200, Chicago, IL 60607 Contact: John A. Koltes, (312) 461-0300 Competitive Market Maker Member (8 Memberships) Competitive Market Making Trading Rights

#### **Bloomberg Tradebook LLC**

September 20, 2006 731 Lexington Ave. New York, NY 10022 Contact: John Byrne, (416) 351-0394 Electronic Access Member (public business) Electronic Access Member Trading Right

### **Consolidated Trading LLC**

April 12, 2010
440 S. LaSalle St., Ste. 1900 Chicago, IL 60605
Contact: Daniel Medic 312-360-7352
Electronic Access Member (Proprietary only)
Electronic Access Member Trading Right

#### FBR Capital Markets & Co.

1001 19th Street North, Arlington VA 22209 Contact: Scott Eveleth, (212) 634-5165 Electronic Access Member (public business) Electronic Access Member Trading Right

### MPS Global Securities, LLC

May 20, 2010
850 Third Avenue – 17<sup>th</sup> Floor, New York, NY 10022
Contact: Howard Elisofon, (212) 331-6401
Electronic Access Member (public business and proprietary trading)
Electronic Access Member Trading Right

### **New Albion Securities, LLC**

July 17, 2005
220 Montgomery Street, Suite 462, San Francisco, CA 94104
Contact: Michele M. May, (415) 421-5626
Electronic Access Member (public business)
Electronic Access Member Trading Right

## Newedge USA, LLC

September 20, 2001 630 Fifth Avenue, Suite 500, New York, NY 10111 Contact: Robert Bond, (646) 557-8531 Electronic Access Member (public business) Electronic Access Member Trading Right

### Raymond C. Forbes & Co., Inc.

May 17, 2007 30 Broad Street, 20th Floor, New York, NY 10004 Contact: Patricia Forbes, (212) 742-8140 Electronic Access Member (agency business) Electronic Access Member Trading Right Susquehanna Investment Group 401 City Avenue, Suite 220, Bala Cynwyd, PA 19004 Contact: Diane Roberts, (610) 617-2661 Competitive Market Maker Member Competitive Market Making &Trading Rights

TFS Derivatives Corporation
January 12, 2004
17 State St., 41st Fl., New York, NY 10004
Contact: David Merola (212) 483-9839
Electronic Access Member (public business)
Electronic Access Member Trading Right