

Form 1
Page 1
Execution
Page

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549 APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR,

Date filed (MM/DD/YY):

REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT.

ee	ARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to ep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant		
VO	uld violate the federal securities laws and may result in disciplinary, administrative, or criminal action.  INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS		
	State the page of the application   SE Mercury , LL(		
١.	State the name of the applicant:		
2.	Provide the applicant's primary street address (Do not use a P.O. Box):		
	60 Broad Street New York, NY 10004		
3.	Provide the applicant's mailing address (if different):  SE  Mail Proc  Secti	essing	
	8 O NUU	t	
I.	Provide the applicant's business telephone and facsimile number:  212-943-2400  Washingto 412	n DC	
	(Telephone) (Facsimile)		
<b>5</b> .	Provide the name, title, and telephone number of a contact employee:  Ronan (ahill Senior Legal and Regulatory Associate		
	(Name) (Title) (Telephone Number)		
<b>3</b> .	Provide the name and address of counsel for the applicant:		
	New York, NY 10004		
<b>.</b>	Provide the date applicant's fiscal year ends:  December 31, 2016		
١.	Indicate legal status of applicant:  Corporation Sole Proprietorship Partnership  Cimited Liability Company Other (specify):		
	If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed):		
	(a) Date (MM/DD/Y): (b) State/Country of formation: LLC Act b. Delc sation	n 18-101	
	(c) Statute under which applicant was organized:	110 0	
EXECUTION: The applicant consents that service of any civil action brought by, or notice of any proceeding before, the Securities and xchange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the pplicant's contact employee at the main address, or mailing address if different, given in items 2 and 3. The undersigned, being first duly worn, deposes and says that he/she has executed this form on behalf of, and with the authority of, said applicant. The undersigned and pplicant represent that the information and statements contained herein, including exhibits, schedules, or other documents att ached hereto, and other information filed herewith all of which are made a part hereot are current, true, and complete.			
at	(MM/DD/F) Co (Mame/of policant) Lacy According	-	
iy: lub	(Signature) pscribed and sworn before me this 7th day of Jule 2016 by William Grider	-	
ny Commission expires 12 14 12018 County of Nanhartan state of New York			
	This page must always be completed in full with original, manual signature and notarization or State of New Y	ork ,	
	NO. 01GN6082263		



June 7, 2016

### VIA FEDERAL EXPRESS

Chris Grobbel
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re: ISE M

ISE Mercury, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Mr. Grobbel:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Mercury, LLC's ("ISE Mercury" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Mercury issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Mercury's website:

#### **Options**

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

**Expiration Notices:** 

http://ise.com/expirationnotices

Series Additions/Deletions:

http://isc.com/serieslist

Market Information Circulars:

http://ise.com/mics

#### **Index Options**

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

#### Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules



#### Marketing Material

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

#### Corporate

**Board Members:** 

http://www.ise.com/about-ise/board-of-directors/

Constitution:

http://www.ise.com/assets/mercury/files/about\_ise/corporate\_governance/Constitution\_of\_ISE\_Mercury\_LLC.PDF

LLC Agreement:

http://www.ise.com/assets/mercury/files/about\_ise/corporate\_governance/Mercury\_LLC\_Agreement.pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

### Members

List of ISE Mercury Members

http://www.ise.com/options/membership/exchange-members/

## Transactional Volume

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate