

091-20885jm

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing  
Section

Part I

Initial Listing Report

JUN 21 2016

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
Bats BYX Exchange, Inc.

Washington DC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

3. Class of New Derivative Securities Product:  
See Attached.

4. Name of Underlying Instrument:  
See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

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Part II

Execution

The undersigned represents that the governing body of the above-referenced product, approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

16018790

Name of Official Responsible for Form:

Anders Franzon

Title: SVP, Associate General Counsel

Telephone Number: 913-815-7154

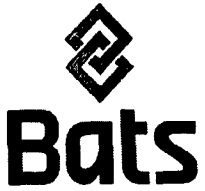
Manual Signature of Official Responsible for Form:

Date: June 20, 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	JUN 21 2016

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BYX EXCHANGE, INC.  
 COMMENCING ON JUNE 15, 2016

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
CNYA	Trust	Investment Company Units	MSCI China A International Index	Global	Broad
ESGN	Trust	Investment Company Units	Beta Advantage Sustainable International Equity Income 100 Index	Global	Broad
ESGS	Trust	Investment Company Units	Beta Advantage Sustainable U.S. Equity Income 100 Index	U.S.	Broad
ESGW	Trust	Investment Company Units	Beta Advantage Sustainable Global Equity Income 200 Index	Global	Broad
RFCI	Trust	Investment Company Units	Global Portfolio of Fixed Income Securities	Global	Broad
RFUN	Trust	Investment Company Units	Global Portfolio of Fixed Income Securities	Global	Broad



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JUN 21 2016

Washington DC  
412

June 20, 2016

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

RE: Form 19b-4(e) - Bats BYX Exchange, Inc.

Ms. Jackson,

On behalf of Bats BYX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding six (6) derivative securities products that commenced trading on Bats BYX Exchange on June 15, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
SVP, Associate General Counsel  
913.815.7154

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SECURITY  
JUN 21 2016

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 21 2016