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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing Section

Part I

Initial Listing Report

JUN 15 2016

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: **Washington DC**
Chicago Stock Exchange, Inc. 412
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Ownership of the Trust
- Name of Underlying Instrument:
RiverFront Dynamic Core Income ETF 16018779
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:
RFCI
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- Settlement Methodology of New Derivative Securities Product:
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
See Prospectus

Part II

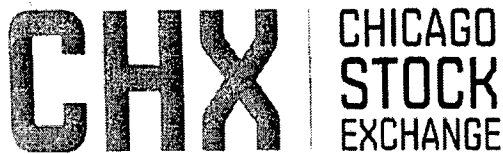
Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: **Michael J. Cardin**
Title: **Director**
Member Regulation Department
Telephone Number: **312-663-2204**

Manual Signature of Official Responsible for Form: *Michael J. Cardin*
Date: **June 15, 2016**

Act: **Securities Exchange Act of 1934**
Section: **19b-4**
Rule: **19b-4(e)**
Public Availability: **JUN 15 2016**



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June 15, 2016

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

| | |
|------|---|
| RFUN | RiverFront Dynamic Unconstrained Income ETF |
| RFCI | RiverFront Dynamic Core Income ETF |

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin
Director
Member Regulation Department

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Enclosures Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | JUN 15 2016 |

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