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FORM 19b-4(e) JUN 16 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

## Part I

#### **Initial Listing Report**

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Solactive Health and Fitness Index



16018774

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: ASX, Euronext Amsterdam, Hong Kong, JSE, Korea, London, NASDAQ, NYSE, OMX Nordic Helsinki, OMX Nordic Stockholm, Oslo, Taipei, Taiwan, Tokyo, Toronto, Xetra
- 8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

#### Part II

### **Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

**Arnold Golub** 

Title:

Vice President

Telephone Number: 1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: June 14, 2016

Securities Exchange Act of 1934 Act

19b-4 Section Rule 19b-4(e)

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