N 0806-1

For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

SEC Mail Processing Section

APR 26 2016

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

RECEIVED

UNITED STATES

Washington, D.C. 20549 Washington DC

SEC / TM FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open End Management Investment Company

- 3. Class of New Derivative Securities Product:
 - **Exchange Traded Fund**

Name of Underlying Instrument:

FTSE Emerging Comprehensive Factor Index

16018757

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based
- Ticker Symbol(s) of New Derivative Securities Product: DEMG
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Abu Dhabi, Bogota, Bovespa, Budapest, Bursa Malaysia, Indonesia, Istanbul Stock Exchange. Johannesburg Stock Exchange, Karachi, Lima Stock Exchange, Mexican Stock Exchange, Moscow, National Stock Exchange Of India, Philippine Stock Exchange, Prague, Santiago Stock Exchange, Shanghai Stock Exchange, Shenzhen, Taiwan Stock Exchange, Thailand, Warsaw, Egyptian

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

Colleen Steele

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for Form:

Date: April 22, 2016

Securities Exchange Act of 1934

SEC 2449 (6-01)

Section Rule

19b-4(e

Public

Availability:

APR 2 6 2016