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Section

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SEC

UNITED STATEMAY 26 2016 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 28549 hington DC

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

BullMark LatAm Select Leaders Index

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-l **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:
- BMLA 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
- Listed on: Bogota, Bovespa, Lima Stock Exchange, Mexican Stock Exchange, Santiago Stock Exchange
- Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

SECURITIES AND EXCHANGE COMMISSION

Colleen Steele

RECEIVED

Title:

Assistant Corporate Secretary

MAY 2 6 2016

Telephone Number:

301-978-8736

DIVISION OF TRADING & MARKETS

Manual Signature of Official Responsible for Form:

Date: May 20, 2016

SEC 2449 (6-01)

Act Securities Exchange Act of 1934

Section 196-4 Rule 19b-4(e)

Public

Availability:

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