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UNITED STATES

2015: ... Y 11 Pi112: 24 SECURITIES AND EXCHANGE COMMISSION

SEC / TM

Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number: 3235-0504 Expires: July 31, 2016

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING MORNIFOCESSING

Part I

## **Initial Listing Report**

Section

MAY 10 2016

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

The NASDAQ Stock Market LLC

Washington DC

- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etg.) **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

**INDXX Millennials Thematic Index** 





16018727

6. Ticker Symbol(s) of New Derivative Securities Product:

**Broad-Based** 

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NASDAQ, NYSE
- 8. Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable):

N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: May 5, 2016

Act

Securities Etichange Act of 1934

Section

19b-4

Rule

19b-4(e)

Public

Availability:

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