091-20497 bo

For Internal Use Only Sec File No. 95EC

Submit 1 Original and 9 Copies

OMB APPROVAL
OMB Number: 3235

UNITED STATES

Ex

3235-0504

Mail Processing Section

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Expires: August 31, 2013
Estimated average burden
hours per response..... 3.60

MAR 25 2016

Washington DC

FORM 19b-4(e)

4nformation Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934				
	READ ALL INSTRUCTIONS PRIOR TO CO	OMPLETING FORM		
Part I	Initial Listing Report			
1.	Name of Self-Regulatory Organization Listing New Derivative Secu	urities Product:		
	Chicago Stock Exchange, Inc.			
2.	Type of Issuer of New Derivative Securities Product (e.g., clearing)	nouse, broker-dealer, corporation, etc.):		
	Trust	NU DE ERBOOK DA GUI O FIRST () () () () ()		
3.	Class of New Derivative Securities Product: Ownership of the Trust			
4.				
4.	Name of Underlying Instrument: Principal Shareholders Yield Index ETF	 16018718		
5.	If Underlying Instrument is an Index, State Whether it is Broad-Bas			
J.	Narrow-Based	sed of Narrow-Based:		
6.	Ticker Symbol(s) of New Derivative Securities Product:			
"	PY			
7.	Market or Markets Upon Which Securities Comprising Underlying	Instrument Trades:		
	Various	114400.		
8.	Settlement Methodology of New Derivative Securities Product:			
	See Prospectus			
9.	Position Limits of New Derivative Securities Product (if applicable):		
	See Prospectus			
Part II	Execution			
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.				
Name	of Official Responsible for Form:			
	Michael J. Cardin			
	Director Member Regulation Department	The grant state of the state of		
Teleph	one Number: 312-663-2204			
L				
	I Signature of Official Responsible for Form	Olen 1934		
Date:	March 24, 2016	Section , 3-4		
		Rulc 19b-4(e)		
SEC 244	19 (6-01)	Public Availability: MAR 2 5 2016		



March 24, 2016

SEC Mail Processing Section

By UPS

MAR 25 2016 Washington DC

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

PSET	Principal Price Setters Index ETF	٦
PY	Principal Shareholders Yield Index ETF	1

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

Enclosures

Act Securities Exchange Act of 1934

Section 195-4
Rule 195-4(6)

Public Availability: MAR 2 5 2016