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UNITED STATES SEC SECURITIES AND EXCHANGE COMMUNICATION SECURITIES AND EXCHANGE COMMUNICATION Washington, D.C. 20540

Section

SEC / TM

FORM 19b-4(e)MAR 23 2016

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act Curities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:
- **Exchange Traded Fund**

Name of Underlying Instrument:

Dorsey Wright Dynamic Focus Five Index



- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based-**Broad-Based**
- Ticker Symbol(s) of New Derivative Securities Product:

- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: **NYSE Arca**
- Settlement Methodology of New Derivatives Product:

Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: March 18, 2016

Act Securities Exchange Act of 1934

Section 195-4 Rule 19b-4(e)

Public

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