

091-204898

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL
OMB Number: 3235-0504
Expires: July 31, 2016
Estimated average burden
hours per response. . . . 3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing

Section

Part I

Initial Listing Report

MAR 17 2016

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

Bats EDGA Exchange, Inc.

Washington DC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

See Attached.

404

3. Class of New Derivative Securities Product:

See Attached.



4. Name of Underlying Instrument:

See Attached.

16018710

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:

See Attached.

RECEIVED
MAR 17 PM 3:05
SEC/1M

7. Market or Markets Upon Which Securities Comprising Underlying Instrument

Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:

Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Title:

VP, Associate General Counsel

Telephone Number:

913-815-7154

Manual Signature of Official Responsible for Form:

Date:

March 16, 2016

Securities Exchange Act of 1934
Section 19b-4(e)
Public Availability: MAR 17 2016

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGA EXCHANGE, INC.
 COMMENCING ON MARCH 14, 2016

<u>(6)</u> <u>Ticker</u> <u>Symbol</u>	<u>(2) Type of</u> <u>Issuer of</u> <u>NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying</u> <u>Instrument</u>	<u>(7) Market(s) upon</u> <u>Which Securities</u> <u>Comprising Underlying</u> <u>Instrument Trades</u>	<u>(5) Broad</u> <u>or</u> <u>Narrow</u>
.DWIN	Trust	Investment Company Un	Dorsey Wright Multi-Asset Income Index	U.S.	Broad



RECEIVED
SEC / JIM
SEC
Mail Processing
Section
MAR 7 2016
Washington DC
404

March 16, 2016

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - Bats EDGA Exchange, Inc.

Ms. Jackson,

On behalf of Bats EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Bats EDGA Exchange on March 14, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon
SVP, Associate General Counsel
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	MAR 17 2016