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UNITED STATES 2016 MAR 31 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

OMB APPROVAL

91-20409 f

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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

P	a	r	t	1

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation_etc.): **Open End Management Investment Company**

3'. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument: CEMP Emerging Market 500 Volatility Weighted Index 16018674

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based**

6. Ticker Symbol(s) of New Derivative Securities Product: CEZ

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: BOVESPA, NASDAQ Dubai, Qatar Exchange, MICEX, GreTai Securities Market, Abu Dhabi Securities

Exchange, Athens Stock Exchange, The Stock Exchange of Thailand, Bolsa de Valores de Colombia, Budapest Stock Exchange , Egyptian Exchange , Dubai Financial Market , Hong Kong Stock Exchange , Indonesia Stock Exchange, Borsa Istanbul, Johannesburg Stock Exchange, Bursa Malaysia, KOSDAQ, Singapore , Mexico Stock Exchange , National Stock Exchange of India , Philippine Stock Exchange , Prague Stock Exchange, Bolsa de Comercio de Santiago de Chile, Taiwan Stock Exchange, Warsaw Stock Exchange

8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number:

1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: March 23, 2016

Act

Securities Lineago And of 1934

Section 18, 1

19b-4 19b-4(e)

Public Availability:

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