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Mail Processing Section

Washington, D.C. 20549

FORM 19b-4(e)

MAY 13 2016

MAY 1 3 2016

Availability:

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## 412 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Class of New Derivative Securities Product: Ownership of the Trust 4. Name of Underlying Instrument: 16018662 Guggenheim U. S. Large Cap Optimized Volatility ETF 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based Ticker Symbol(s) of New Derivative Securities Product: 6. **OVLC** Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. Various 8. Settlement Methodology of New Derivative Securities Product: See Prospectus 9. Position Limits of New Derivative Securities Product (if applicable): See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Manual Signature of Official Responsible for Porm inclusion Securities Enchange Act of 1934 Date: Section 19b-4 May 11, 2016 Rule 19b-4(e) Public



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MAY 13 2016

Washington DC 412

May 11, 2016

## By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

OVLC Guggenheim U. S. Large Cap Optimized Volatility ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael I Cardin

Director

Member Regulation Department

Enclosures

Act Securities Exchange Act of 1934

Section 193-3
Rule / 195-4(e)

Public Availability: MAY 1 3 2016