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OMB APPROVAL

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## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 SEC READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Mail Processing Part I **Initial Listing Report** MAY 10 2016 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc. **Open End Management Investment Company** Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: Indxx Millennials Thematic Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form:

Colleen Steele

Title:

**Assistant Corporate Secretary** 

Telephone Number: 301-978-8736

Manual Signature of Official Responsible for/Form:

Date: May 05, 2016

SEC 2449 (6-01)

Securities Embange Act of 1934

Section 19b-4

19b-4(e) Rule

Public Availability:

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