



16014136

Processing Section

FEB 29 2016

Washington DC 413

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

ANNUAL AUDITED REPORT FORM X-17A-5 PART III

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

OMB APPROVAL OMB Number: 3235-0123 Expires: March 31, 2016 Estimated average burden hours per response: 12.00

SEC FILE NUMBER 8- 50333

REPORT FOR THE PERIOD BEGINNING 01/01/15 AND ENDING 12/31/15 MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: TR Capital Group, LLC, BDA Titus Rockefeller, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

181 Post Road West

(No. and Street)

Westport

Connecticut

06880

(City)

(State)

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Richard Stoyeck

203-341-9444

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Sobel & Co., LLC

(Name - if individual, state last, first, middle name)

293 Eisenhower Parkway, Suite 290

Livingston

New Jersey

07039-1711

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- [X] Certified Public Accountant
[] Public Accountant
[] Accountant not resident in United States or any of its possessions.

SARAH ROSEN NOTARY PUBLIC, State of New York No. 01RO3349005 Qualified in New York County Commission Expires March 30, 2011

Signature and date 2-16-16

Handwritten signature and date 2-26-16

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

SEC 1410 (06-02)


Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

AKB


Handwritten mark

OATH OR AFFIRMATION

I, Richard Stoyeck, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of TR Capital Group, LLC, DBA Titus Rockefeller, LLC, as of December 31, 20 15 are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:


GEORGINA ESPINOSA
NOTARY PUBLIC - State of New York
Qualified in Suffolk & New York Counties
Commission Expires November 22, 2018

Notary Public



Signature
Managing Partner
Title

This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

** For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

TR CAPITAL GROUP. LLC

DBA TITUS ROCKEFELLER, LLC

FINANCIAL STATEMENTS AND SUPPLEMENTARY INFORMATION

INCLUDING FACING PAGE

DECEMBER 31, 2015

TR CAPITAL GROUP, LLC
DBA TITUS ROCKEFELLER, LLC

DECEMBER 31, 2015

CONTENTS

Page

Report of Independent Registered Public Accounting Firm.....	1
Financial Statements:	
Statement of Financial Condition	2
Statement of Operations	3
Statement of Changes in Members' Equity	4
Statement of Cash Flows	5
Notes to Financial Statements	6-8
Report of Independent Registered Public Accounting Firm on Supplementary Information Required by Rule 17a-5 Under the Securities Exchange Act of 1934	9
Computation of Net Capital Under Rule 15c3-1 of the Securities and Exchange Commission.....	10
Report of Independent Registered Public Accounting Firm.....	11
Exemption Report: Assertions and Statement.....	12



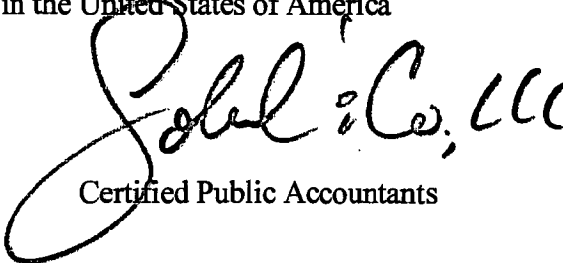
**REPORT OF INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM**

To the Members
TR Capital Group, LLC
d/b/a Titus Rockefeller, LLC
Westport, Connecticut

We have audited the accompanying statement of financial condition of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC as of December 31, 2015, and the related statements of operations, changes in members' equity, and cash flows for the year then ended. These financial statements are the responsibility of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC as of December 31, 2015, and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America


Certified Public Accountants

Livingston, New Jersey
February 26, 2016

TR Capital Group, LLC DBA Titus Rockefeller, LLC
Statement of Financial Condition
As of December 31, 2015


ASSETS

Current Assets	
Cash	\$ 16,125
Deposit with clearing broker	15,000
Commission receivable	23,484
Total Assets	<u>\$ 54,609</u>

LIABILITIES AND MEMBERS' EQUITY

Current Liabilities	
Accounts Payable and Accrued Expenses	\$ 42,114
Members' Equity	<u>12,495</u>
Total Liabilities and Members' Equity	<u>\$ 54,609</u>


2-27-16
SARAH ROSEN
NOTARY PUBLIC, State of New York
No. 01RO3349003
Qualified in New York County
Commission Expires March 30, 2017


2/27/16

See accompanying notes to financial statements.

TR Capital Group, LLC DBA Titus Rockefeller, LLC
Statement of Operations
December 31, 2015

Revenue:		
Commission Income	\$	325,823
Interest Income		22,501
Other Income		<u>37,270</u>
Total Revenues		385,594
Expense:		
Amex Business Expenses	\$	55,264
Automobile Expense		13,329
Bank Service Charges		358
Business Licenses and Permits		386
Clearing		12,829
Clerical Help		80
Commission Expense		222,434
Compliance Support		3,605
Dues and Subscriptions		9,266
Insurance Expense		4,498
Investor Presentation		375
Meetings		3,953
Misc Fees & Other Charges -S.A.		5,955
Office Supplies		110
Professional Fees		58,825
Regulatory Fees & Expenses		12,560
Rent Expense		36,000
Telephone Expense		1,986
Trade Error Expense		11,062
Travel Expense		140
Utilities		<u>1,630</u>
Total Expenses		<u>454,645</u>
Net Loss	\$	<u><u>(69,051)</u></u>

See accompanying notes to financial statements.

TR Capital Group, LLC DBA Titus Rockefeller, LLC
Statement of Changes in Members' Equity
Year Ended December 31, 2015

Balance, January 1, 2015	\$ 26,546
Capital contributions	55,000
Net Loss	<u>(69,051)</u>
Balance, December 31, 2015	<u>\$ 12,495</u>

See accompanying notes to financial statements.

TR Capital Group, LLC DBA Titus Rockefeller, LLC
Statement of Cash Flows
Year Ended December 31, 2015

<u>Operating Activities:</u>	
Net loss	\$ (69,051)
Adjustments to reconcile Net loss to net cash used by operations:	
Commissions Receivable	17,142
Accounts Payable and accrued expenses Receivable	3,143
	<u>1,249</u>
Net cash used by Operating Activities	(47,517)
 <u>Financing Activities:</u>	
Member Contributions	<u>55,000</u>
Net cash provided by Financing Activities	55,000
 Net cash increase for period	 7,483
 Cash at beginning of period	 <u>8,642</u>
 Cash at end of period	 <u><u>16,125</u></u>

See accompanying notes to financial statements.

TR CAPITAL GROUP, LLC, DBA TITUS ROCKEFELLER LLC

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2015

Note 1 – ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

Organization:

TR Capital Group, LLC DBA Titus Rockefeller, LLC (the “Company”) was organized in July 1997 in the state of Connecticut for the purpose of registering as a securities broker. The Company is headquartered in Westport, Connecticut. The Company is registered with the Securities and Exchange Commission (SEC), is a member of Financial Industry Regulatory Authority (FINRA) and the Securities Investor Protection Corporation (SIPC).

Basis of Accounting:

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates:

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amount of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Federal and State Income Taxes:

The financial statements do not reflect a provision or liability for federal or state income taxes since under the Internal Revenue Code the Company elected to be taxed as a partnership. Accordingly, the individual members report their distributive share of the Company’s income or loss and credits on each member’s individual tax return.

The Company follows accounting standards that provide clarification on accounting for uncertainty in income taxes recognized in the Company’s financial statements. The guidance prescribes a recognition threshold and measurement attribute for the financial statement recognition and measurement of a tax position taken or expected to be taken in a tax return, and also provides guidance on de-recognition, classification, interest and penalties, disclosure and transition. Tax returns for 2012 and forward are subject to audit by federal and state jurisdictions. No interest or penalties have been incurred as of December 31, 2015. At December 31, 2015, there are no significant income tax uncertainties that are expected to have a material impact on the Company’s financial statements.

TR CAPITAL GROUP, LLC, DBA TITUS ROCKEFELLER LLC

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2015

NOTE 1 – ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES: (Continued)

Revenue Recognition:

Revenue consists mainly of commissions earned on buy and sell orders from the Company's retail client base. All revenue is recognized when earned.

Subsequent Events:

The Company has evaluated its subsequent events and transactions occurring after December 31, 2015 through February 25, 2016, the date that the financial statements were available to be issued.

NOTE 2 – NET CAPITAL REQUIREMENTS:

The Company is subject to the Securities and Exchange Commission Uniform Net Capital Rule (Rule 15c3-1), which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1 (and the rule of the "applicable" exchange also provides that equity capital may not be withdrawn or cash dividends paid if the resulting net capital ratio would exceed 10 to 1).

At December 31, 2015, the Company's net capital is as follows:

	2015
Net Capital	\$ 12,495
Net Capital Requirement	5,000
Excess Net Capital	\$ 7,495
Aggregate Indebtedness to Net Capital	337.05%

The Company is not required to furnish the "computation of reserve requirements" under Rule 15c3-3 of the Securities and Exchange Commission due to the fact that it qualifies for, and complies with the exemptive provision k(2)(ii) of Rule 15c3-3. This provision exempts brokers or dealers who clear all transactions on behalf of customers with a Clearing Broker on a fully disclosed basis (Note 3) from having to furnish the computation of reserve requirements.

There were no differences between this calculation of net capital, and the corresponding computation presented by the Company and included in the Company's unaudited Part IIA FOCUS Report filing as of December 31, 2015.

TR CAPITAL GROUP, LLC, DBA TITUS ROCKEFELLER LLC

NOTES TO FINANCIAL STATEMENTS

DECEMBER 31, 2015

NOTE 3 – CLEARING BROKER:

The Company has entered into a Fully Disclosed Clearing Agreement with Sterne Agee Clearing, Inc., a wholly owned subsidiary of Sterne Agee Group, Inc. (the “Clearing Broker”). The Clearing Broker carries cash and margin accounts of the customers introduced by the Company and clears transactions on a fully disclosed basis for such accounts. In addition, the Clearing Broker is responsible for carrying, maintaining and preserving such books and records pertaining to its function as a clearing broker pursuant to the requirements of Rules 17a-3 and 17a-4 of the Securities Exchange Act of 1934.

Pursuant to the Agreement, the Company has a \$15,000 interest earning deposit on account with the Clearing Broker. The deposit with the Clearing Broker is for the exclusive benefit of customers, as required under Rule 15c3-3 of the Securities Exchange Act of 1934.

NOTE 4- COMMITMENTS:

The Company is currently leasing its office space on a month to month basis. Currently, the Company is paying \$3,000 a month. Total rent expense amounted to \$36,000 for the year ended December 31, 2015.

NOTE 5 – FUTURE OPERATIONS:

The Company has experienced net losses and negative operating cash flows for the past three years. The Company does not possess the liquidity required to fund the next twelve months of operations without additional revenue sources, member capital contributions or debt financing.

The managing member of the Company has made a commitment to contribute additional capital and solicit business, as necessary, in order to continue the operations of the Company.



SOBEL & CO. LLC

CERTIFIED PUBLIC ACCOUNTANTS & ADVISORS

293 Eisenhower Parkway
Livingston, NJ 07039-1711
Office: 973.994.9494
Fax: 973.994.1571
www.sobel-cpa.com

**REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING
FIRM ON SUPPLEMENTARY INFORMATION REQUIRED BY RULE
17a-5 UNDER THE SECURITIES EXCHANGE ACT OF 1934**

To the Members
TR Capital Group, LLC
d/b/a Titus Rockefeller, LLC
Westport, Connecticut

We have audited the financial statements of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC as of and for the year ended December 31, 2015, and have issued our report thereon dated February 26, 2016, which contains an unmodified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements as a whole. The Computation of Net Capital Under Rule 15c3-1 of the Securities and Exchange Commission contained on page 10, has been subjected to audit procedures performed in conjunction with the audit of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC's financial statements. The supplemental information is the responsibility of TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC's management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content is presented in conformity with 17 C.F.R. § 240.17a-5. In our opinion, the Computation of Net Capital Under Rule 15c3-1 of the Securities and Exchange Commission is fairly stated, in all material respects, in relation to the financial statements as a whole.

Sobel & Co., LLC
Certified Public Accountants

Livingston, New Jersey
February 26, 2016

TR Capital Group, LLC DBA Titus Rockefeller, LLC
 Computation of Net Capital Pursuant to Uniform
 Net Capital Rule 15c3-1 of the
 Securities and Exchange Commission
 Year Ended December 31, 2015

NET CAPITAL:	
Total members' equity	\$ 12,495
Less: Deductions and/or charges:	
Non-allowable assets	-
Net Capital	<u>12,495</u>
Aggregate Indebtedness	<u>\$ 42,114</u>
Total Aggregate Indebtedness	<u><u>\$ 42,114</u></u>
Minimum Net Capital Required per Rule 15c3-1	<u>\$ 5,000</u>
Excess Net Capital	<u>\$ 7,495</u>
Net Capital in excess of 120% of minimum requirement	<u><u>\$ 6,495</u></u>
Ratio: aggregate indebtedness to net capital	<u><u>337.05%</u></u>

Statement Pursuant to SEC Rule 17a-5(d)(4)

There were no differences between the computation of net capital and the corresponding computation prepared by TR Capital Group, LLC DBA Titus Rockefeller, LLC and included in the Company's unaudited Part IIA FOCUS report filing as of December 31, 2015.

See independent auditors' report on supplementary information.



SOBEL & CO. LLC

CERTIFIED PUBLIC ACCOUNTANTS & ADVISORS

293 Eisenhower Parkway
Livingston, NJ 07039-1711
Office: 973.994.9494
Fax: 973.994.1571
www.sobel-cpa.com

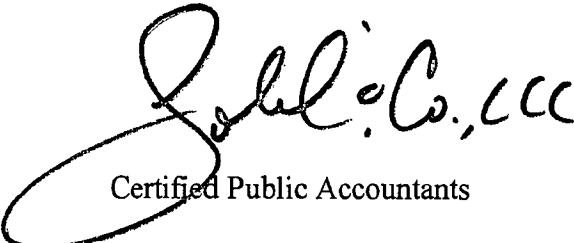
**REPORT OF INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM**

To the Members
TR Capital Group, LLC
d/b/a Titus Rockefeller, LLC
Westport, Connecticut

We have reviewed management's statements, included in the accompanying Exemption in which (1) TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC identified the following provisions of 17 C.F.R. §15c3-3(k) under which TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC claimed an exemption from 17 C.F.R. §240.15c3-3:(2)(ii) ("exemption provisions") and (2) TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC stated that TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC met the identified exemption provisions throughout the most recent fiscal year without exception. TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about TR Capital Group, LLC, d/b/a Titus Rockefeller, LLC's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(ii) of Rule 15c3-3 under the Securities Exchange Act of 1934.


Certified Public Accountants

Livingston, New Jersey
February 26, 2016

TR Capital Group, LLC, BDA Titus Rockefeller, LLC

Assertions Regarding Exemption Provision:

TR Capital Group, LLC, DBA Titus Rockefeller, LLC ("the Company") is responsible for compliance with the annual reporting requirements under Rule 17a-5 of the Securities Exchange Act of 1934. Those requirements compel a broker or dealer to file annual reports with the Securities Exchange Commission (SEC), the broker or dealer's designated examining authority (DEA) and SIPC. One of the reports to be included in the annual filing is an exemption report prepared by an independent public accountant based upon a review of assertions provided by the broker or dealer. Pursuant to that requirement, the management of the Company hereby makes the following assertions:

Identified Exemption Provision:

The Company claims exemption from the custody and reserve provisions of Rule 15c3-3 by operating under the exemption provided by Rule 15c3-3, Paragraph (k)(2)(ii). Accordingly, the computation for determination of reserve requirements pursuant to Rule 15c3-3 and information relating to the possession or control requirement pursuant to Rule 15c3-3 are not applicable.

Statement Regarding Meeting Exemption Provision:

The Company has met the identified exemption provision, without exception, throughout the period, January 1, 2015 through December 31, 2015.



Richard Stoyeck, Managing Partner