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UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
SEC Washington, D.C. 20549

ANNUAL AUDITED REPORT
FORM X-17A-5

PART III

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the
Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 1-1-2015 AND ENDING 12-31-2015
MM/DD/YY MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Dynasty Securities, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

1350 Avenue of the Americas, 32nd Floor

(No. and Street)

New York

(City)

NY

(State)

10019

(Zip Code)

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Michael C. Brown

212-373-1000

(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Sobel & CO, LLC

(Name - if individual, state last, first, middle name)

293 Eisenhower Parkway, Ste 290, Livingston NJ 07039

(Address)

(City)

(State)

(Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

FOR OFFICIAL USE ONLY

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

OMB APPROVAL
 OMB Number: 3235-0123
 Expires: March 31, 2016
 Estimated average burden hours per response: 12.00

SEC FILE NUMBER
 8-68962

SEC 17A-5
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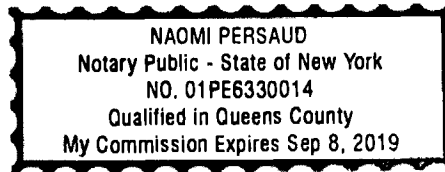
OATH OR AFFIRMATION

I, Michael C. Brown, swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of Dynasty Securities, LLC, as of December 31, 2015, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

Michael C. Brown
Signature

Chief Compliance Officer
Title

Naomi Persaud
Notary Public



This report ** contains (check all applicable boxes):

- (a) Facing Page.
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss).
- (d) Statement of Changes in Financial Condition.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietors' Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or Control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation of the Computation of Net Capital Under Rule 15c3-1 and the Computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.

**For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



SOBEL & CO. LLC

CERTIFIED PUBLIC ACCOUNTANTS & ADVISORS

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**REPORT OF INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM**

To the Member
Dynasty Securities, LLC
New York, New York

We have audited the accompanying statement of financial condition of Dynasty Securities, LLC as of December 31, 2015, and the related statements of operations, changes in member's equity, and cash flows for the year then ended. These financial statements are the responsibility of Dynasty Securities, LLC's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Dynasty Securities, LLC as of December 31, 2015, and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.


Certified Public Accountants

Livingston, New Jersey
February 29, 2016

DYNASTY SECURITIES, LLC

STATEMENT OF FINANCIAL CONDITION

DECEMBER 31, 2015

ASSETS

Cash	\$	383,166
Accounts Receivable		12,978
Prepaid Expenses		258
TOTAL ASSETS	\$	396,402

LIABILITIES AND MEMBER'S EQUITY

LIABILITIES

Due to Dynasty Financial Partners LLC	\$	261,162
Accounts Payable and Accrued Expenses		8,702
TOTAL LIABILITIES	\$	269,864

MEMBER'S EQUITY

		126,538
TOTAL LIABILITIES AND MEMBER'S EQUITY	\$	396,402

DYNASTY SECURITIES, LLC

STATEMENT OF OPERATIONS

YEAR ENDED DECEMBER 31, 2015

Revenues

Commission Income, net	\$	185,910
Referral Fees		71,968
TOTAL REVENUES		257,878

Expenses

Employee Compensation and Benefits		71,738
Professional Fees		155,764
Occupancy Costs		18,458
Travel		11,013
Marketing		11,036
Depreciation		6,475
Meals and entertainment		3,243
Other		8,717
TOTAL EXPENSES		286,444
NET LOSS	\$	(28,566)

DYNASTY SECURITIES, LLC

STATEMENT OF CHANGES IN MEMBER'S EQUITY

Balance, January 1, 2015	\$	55,104
Capital Contributions		100,000
Net loss		(28,566)
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Balance, December 31, 2015	\$	126,538
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DYNASTY SECURITIES, LLC

STATEMENT OF CASH FLOWS

YEAR ENDED DECEMBER 31, 2015

Cash flows from operating activities	
Net loss	\$ (28,566)
Adjustments to reconcile net loss to net cash provided by operating activities	
Changes in assets and liabilities	
Accounts Receivable	(12,978)
Prepaid expenses	2,030
Due to Dynasty Financial Partners, LLC	235,274
Accounts payable and accrued expenses	(98)
Net cash provided by operating activities	195,662
Cash flows from financing activities	
Capital Contribution	100,000
Net cash provided by financing activities	100,000
Net increase in cash	295,662
Cash, beginning of year	87,504
Cash, end of year	\$ 383,166

See notes to financial statements.

DYNASTY SECURITIES, LLC
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2015

NOTE 1 – ORGANIZATION AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:

Organization:

Dynasty Securities, LLC (the "Company") was formed on August 16, 2011, in the state of New York. On March 21, 2013, the Securities and Exchange Commission accepted the Company's application for registration as a broker or dealer pursuant to Section 15(b) of the Securities Exchange Act of 1934. In addition, on March 21, 2013, the Financial Industry Regulatory Authority, Inc. ("FINRA") accepted the Company's membership application. The Company is headquartered in New York, New York. The Company is registered with the Securities and Exchange Commission ("SEC"). The Company is also a member of the Securities Investor Protection Corporation ("SIPC").

Basis of Accounting:

The accompanying financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America.

Use of Estimates:

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amount of assets and liabilities and disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Revenue Recognition:

Commission income and referral fees are recorded when earned.

Income Taxes:

The Company is treated as a partnership for federal and state income tax purposes; therefore, the taxable income or loss from the Company's operation is allocated to the Company's members.

The Company follows accounting standards that provide clarification on accounting for uncertainty in income taxes recognized in the Company's financial statements. The guidance prescribes a recognition threshold and measurement attribute for the financial statement recognition and measurement of a tax position taken or expected to be taken in a tax return, and also provides guidance on de-recognition, classification, interest and penalties, disclosure and transition. Tax returns for 2012 and forward are subject to audit by federal and state jurisdictions. At December 31, 2015, there are no significant income tax uncertainties that are expected to have a material impact on the Company's 2015 financial statements. No interest or penalties were incurred during 2015.

DYNASTY SECURITIES, LLC
NOTES TO FINANCIAL STATEMENTS
DECEMBER 31, 2015

NOTE 1 – ORGANIZATION AND SUMMARY OF
SIGNIFICANT ACCOUNTING POLICIES: (Continued)

Subsequent Events:

The Company has evaluated its subsequent events and transactions occurring after December 31, 2015 through February 29, 2016, the date these financial statements were available to be issued.

NOTE 2 – NET CAPITAL REQUIREMENTS:

The Company is subject to the Securities and Exchange Commission Uniform Net Capital Rule (rule 15c3-1), which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 15 to 1.

At December 31, 2015, the Company's net capital is as follows:

Net capital	\$	113,302
Net capital requirement		<u>17,991</u>
Excess Net Capital	\$	<u>95,311</u>
Aggregate indebtedness to net capital		<u>238.18%</u>

The Company is not subject to the "computation of reserve requirements" under rule 15c3-3 of the Securities and Exchange Commission due to the fact that it qualifies for, and complies with the exemptive provision k(2)(i) of rule 15c3-3. This provision exempts brokers or dealers who do not hold funds or securities, or owe money or securities to customers, from having to furnish the "computation of reserve requirements."

NOTE 3 – RELATED PARTY TRANSACTIONS:

From time to time, Dynasty Financial Partners, LLC, a related party through common ownership provides certain services for Dynasty Securities, LLC, under an expense sharing agreement. During the year ending December 31, 2015, Dynasty Financial Partners, LLC charged Dynasty Securities, LLC for professional fees, salaries, rent, etc that total \$273,927. For the year ending December 31, 2015, Dynasty Securities, LLC owes Dynasty Financial Partners, LLC \$261,162 for these services.

NOTE 4 – CONCENTRATION:

At December 31, 2015, 88% of revenue was earned from three [3] customers.

NOTE 5 – COMMISSION SHARING NETWORK AGREEMENT:

The Company has a Commission Sharing Networking Agreement ("Agreement") with an unrelated third party. The Company is paid a commission for the referral of clients. In addition, the unrelated third party provides the Company with electronic communication retention and archiving services and continuing education administration. The commissions the Company earned are net of any fees for these services.



SOBEL & CO. LLC

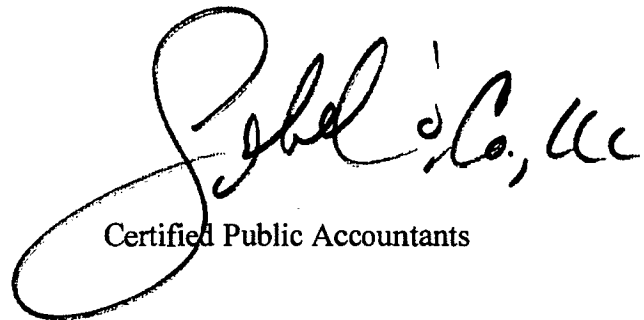
CERTIFIED PUBLIC ACCOUNTANTS & ADVISORS

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**REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING
FIRM ON SUPPLEMENTARY INFORMATION REQUIRED BY RULE
17a-5 UNDER THE SECURITIES EXCHANGE ACT OF 1934**

To the Member
Dynasty Securities, LLC
New York, New York

We have audited the financial statements of Dynasty Securities, LLC as of and for the year ended December 31, 2015, and have issued our report thereon dated February 29, 2016, which contains an unmodified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements as a whole. The Computation of Net Capital Under Rule 15c3-1 of the Securities and Exchange Commission contained on page 9, has been subjected to audit procedures performed in conjunction with the audit of Dynasty Securities, LLC's financial statements. The supplemental information is the responsibility of Dynasty Securities, LLC's management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content is presented in conformity with 17 C.F.R. § 240.17a-5. In our opinion, the Computation of Net Capital Under Rule 15c3-1 of the Securities and Exchange Commission is fairly stated, in all material respects, in relation to the financial statements as a whole.



Certified Public Accountants

Livingston, New Jersey
February 29, 2016

DYNASTY SECURITIES, LLC

SCHEDULE I

**COMPUTATION OF NET CAPITAL UNDER RULE 15c3-1 OF
THE SECURITIES AND EXCHANGE COMMISSION**

AS OF DECEMBER 31, 2015

Computation of net capital	
Total member's equity	\$ 126,538
Deductions and/or charges	
Aged receivables and other assets	\$ (13,236)
Net capital	\$ 113,302
Computation of aggregate indebtedness	
Due to Dynasty Financial Partners, LLC	\$ 261,162
Accounts payable	\$ 8,702
Aggregate indebtedness	\$ 269,864
Computation of basic net capital requirement	
Minimum net capital required (6.67% of aggregate indebtedness)	\$ 17,991
Minimum dollar requirement	5,000
Net capital requirement (greater of minimum net capital or dollar requirement)	\$ 17,991
Excess net capital	\$ 95,311
Net capital less 10% of aggregate indebtedness	\$ 86,316
Ratio: Aggregate indebtedness to net capital	2.38 to 1

There are no material differences between the preceding computation and the Company's corresponding unaudited Form X-17a-5 Part II as of December 31, 2015.

See report of independent registered public accounting firm.



SOBEL & CO. LLC

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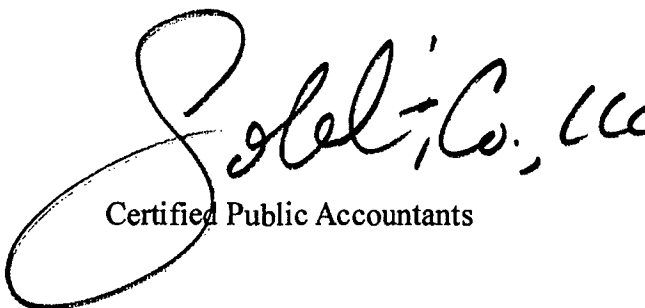
**REPORT OF INDEPENDENT REGISTERED
PUBLIC ACCOUNTING FIRM**

To the Member
Dynasty Securities, LLC
New York, New York

We have reviewed management's statements, included in the accompanying Statement of Exemption From SEC Rule 15c3-3, in which (1) Dynasty Securities, LLC identified the following provisions of 17 C.F.R. §15c3-3(k) under which Dynasty Securities, LLC claimed an exemption from 17 C.F.R. §240.15c3-3:(2)(i) ("exemption provisions") and (2) Dynasty Securities, LLC stated that Dynasty Securities, LLC met the identified exemption provisions throughout the most recent fiscal year without exception. Dynasty Securities, LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Dynasty Securities, LLC's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(i) of Rule 15c3-3 under the Securities Exchange Act of 1934.


Certified Public Accountants

Livingston, New Jersey
February 29, 2016

STATEMENT OF EXEMPTION FROM SEC RULE 15c3-3

Dynasty Securities LLC, ("the Company") to the best of my knowledge and belief, hereby certifies as follows:

1. The Company does not carry securities accounts for customers or perform custodial functions relating to customer securities.
2. The Company carries no margin accounts, promptly transmits all customer funds and delivers all securities received in connection with its activities as a broker or dealer, does not otherwise hold funds or securities for, or owe money or securities to, customers and effectuates all financial transactions between the broker or dealer and its customers through one of more bank accounts, each to be designated as "Special Account for the Exclusive Benefit of Customers of Dynasty Securities LLC."
3. As a consequence, the Company is exempt from Rule 15c3-3 pursuant to Rule 15c3-3(k)(2)(i).
4. The Company met this exemption during the entire calendar year ending December 31, 2015 without exception.

Dynasty Securities, LLC

I, Michael C. Brown, affirm that, to the best of my knowledge and belief, this Exemption Report is true and accurate.

By: 
Title: Chief Compliance Officer

February 25, 2016