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(MC)			
URITIES AND EXCHANCE COMMISSION	16013232		OMB APPROVAL OMB Number: 3235-0123
NDENCHAMMED			Expires: March 31, 2016 Estimated average burden
URITIES AND EXCENTED 2016 NAAR 30 2016	ANNUAL AUDITED	_	hours per response 12.00
HAR 3	FORM X-17	A-5/A	SEC FILE NUMBER
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CISTRATIO.	FACING PAG		an 19 of the
09 Securities	uired of Brokers and Deal s Exchange Act of 1934 and		
REPORT FOR THE PERIOD BEGI	NNING01/01/2015	AND ENDING	12/31/2015
	MM/DD/YY		MM/DD/YY
	A. REGISTRANT IDENT	FICATION	
NAME OF BROKER-DEALER: A	STON CAPITAL ADVISOR	S, LLC	OFFICIAL USE ONLY
ADDRESS OF PRINCIPAL PLACE	OF BUSINESS: (Do not use P.). Box No.)	FIRM I.D. NO.
13015 WASHINGTON B	OULEVARD		
	(No. and Street)		
LOS ANGELES	CA		90066
(City)	(State)		(Zip Code)
NAME AND TELEPHONE NUMBI DAVID JOSHUA STAUB		IN REGARD TO THIS R	EPORT
		·····	(Ares Code - Telephone Number)
	B. ACCOUNTANT IDENT	IFICATION	
INDEPENDENT PUBLIC ACCOUR	NTANT whose opinion is contain	ed in this Report*	
1	THAYHER O'NEAL COMPA	NY, LLC	
	(Name – if individual, state b	zzt, firzt, middle name)	
		LAND. TX 77478	
101 PARKLANE BOULE	VARD, SUITE 201, SUGAR		
101 PARKLANE BOULE (Address)	VARD, SUITE 201, SUGAR (City)	(State)	(Zip Code)
		and the second	(Zip Code)
(Address)	(City)	and the second	(Zip Code)
(Address) CHECK ONE:	(City)	and the second	(Zip Code)
(Address) CHECK ONE: Certified Public According Public Accountant	(City)	(State)	(Zip Code)

*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

OATH OR AFFIRMATION

i,	DAVID JOSHUA STAUB		that, to the best of
my knowled	ige and belief the accompanying financial ASTON CAPITAL ADVISORS, LLC	statement and supporting schedules pertaining to	
		, 20 ¹⁵ , are true and correct. I further sw	, as
·····			•
		ipal officer or director has any proprietary intere	st in any account
classified so	olely as that of a customer, except as follow	/5:	
	······································		
	······································	1 00 1	
		C	
		Signature	
		-	
		MANAGER, MEMBER ASTON C	CAPITAL ADVISORS, LLC
	,	Title	
Se	e attached		
	Notary Public		
	· · · · · · · · · · · ·		
This report S. (a) Fac	** contains (check all applicable boxes):		
Sk (a) Fac	tement of Financial Condition.		
	tement of income (Loss).		
	tement of Changes in Financial Condition.		
	tement of Changes in Stockholders' Equity		
	tement of Changes in Liabilities Subordina	ted to Claims of Creditors.	
	mputation of Net Capital. nputation for Determination of Reserve Re	7 ouiremente Burguant to Bule 562-2	
	ormation Relating to the Possession or Con		
		ation of the Computation of Net Capital Under Ru	ile 15c3-1 and the
Cor	mputation for Determination of the Reserve	Requirements Under Exhibit A of Rule 15c3-3.	
🛛 (k) A R	econciliation between the audited and una	udited Statements of Financial Condition with re	
	solidation.		
	Oath or Affirmation. opy of the SIPC Supplemental Report.		
		ound to exist or found to have existed since the date	e of the previous audit.
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CALIFORNIA JURAT WITH AFFIANT STATE	MENT GOVERNMENT CODE § 8202
See Attached Document (Notary to cross out lin See Statement Below (Lines 1-6 to be complet	nes 1–6 below)
Signature of Document Signer No. 1	Signature of Document Signer No. 2 (if any)
A notary public or other officer completing this certific document to which this certificate is attached, and not t	ate verifies only the identity of the individual who signed the the truthfulness, accuracy, or validity of that document.
State of California	Subscribed and swom to (or affirmed) before me
County of <u>Los Angeles</u>	on this <u>25</u> ¹⁴ day of <u>March</u> , 20 <u>16</u> , by Date Month Year (1) <u>David Joshva</u> <u>Staub</u>
	(and (2)), Name(s) of Signer(s)
CHRISTOPHER ALEXANDER ELIAS	proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.
Commission # 2025301 Notary Public - California Los Angeles County My Comm. Expires May 19, 2017	Signature <u>Churteners Alwandus Elias</u> Signature of Notary Public
Seal Place Notary Seal Above	
Though this section is optional, completing this	TIONAL s information can deter alteration of the document or s form to an unintended document.
Description of Attached Document	ja
	mation Document Date:
Number of Pages: Signer(s) Other Than N	amed Above:

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ASTON CAPITAL ADVISORS, LLC

13015 W. Washington Blvd., Los Angeles CA 90066 (310) 929-5269 • (310) 496-0702 [Fax]

March 25, 2016

Securities and Exchange Commission Registrations Branch Mail Stop 8031 100 F Street, NE Washington, DC 20549

Re: <u>CRD # 161313; SEC #8-69041</u>

Ladies and Gentlemen:

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For Aston Capital Advisors, LLC, I enclose herewith a copy of its latest corrected annual audit with Form X-17A-5 Part III Facing Page.

Very truly yours,

ASTON CAPITAL ADVISORS, LLC

D. Joshua Staub, sole member and manager

ASTON CAPITAL ADVISORS, LL C.

(FINRA CRD NO. 161313)

FINANCIAL STATEMENTS

WITH INDEPENDENT AUDITOR'S REPORT

December 31, 2015

Filed pursuant Rule 17a-5(e)(3) under the Securities Exchange Act of 1934 as Public Documents



REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Member of Aston Capital Advisors, LLC. Los Angeles, California

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We have audited the accompanying statement of financial condition of Aston Capital Advisors, LLC. ("The Company") as of December 31, 2015 and the related statements of income, cash flow and changes in member's equity for the year then ended as well as the supplemental computation of net capital as of December 31, 2015. These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. The Company has determined that it is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audits included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit includes examining on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the financial statements referred to above and the related supplemental schedule present fairly, in all material respects, the financial position of Aston Capital Advisors, LLC as of December 31, 2015 and the results of its operations and changes in financial position for the year then ended in conformity with accounting principles generally accepted in the United States.

/s/ ThayerONeal

Sugar Land, Texas

March 24, 2016

a member of the Stable Network | www.thayeroneal.com 101 Parklane Boulevard, Suite 201 | Sugar Land, TX 77478 | T: 281.552.8430 | F: 281.552.8431

Aston Capital Advisors, LLC Statement of Financial Condition December 31, 2015

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ASSETS	
Current assets	
Cash and Cash Equivalents	\$ 16,556
Certificate of deposit	10,008
Deposit with CRD	714
Total current assets	27,278
TOTAL ASSETS	\$ 27,278
LIABILITIES & MEMBER'S EQUITY	
Liabilities	
Current Liabilities	
Accrued Expenses	\$ 2,765
Total current liabilities	2,765
Total Liabilities	2,765
Member's Equity	24,513
TOTAL LIABILITIES & MEMBER'S EQUITY	\$ 27,278

The accompanying notes are an integral part of these financial statements. 3 of 12

Aston Capital Advisors, LLC Statement of Income For the year ended December 31, 2015

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Operating Expenses	
General and Administrative	\$ 2,360
Organizational Expenses	6,015
Rent Expenses	1,800
FINRA Expenses	1,449
State Taxes	800
Total operating expenses	12,424
Other income and expenses	
Interest Income	(9)
Net loss	\$12,415

The accompanying notes are an integral part of these financial statements 4 of 12

Aston Capital Advisors, LLC Statement of Cash Flow for the year ended December 31, 2015

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OPERATING ACTIVITIES		
Net loss	\$	(12,415)
Adjustments to reconcile Net Income		
to net cash provided by operations:		
Accrued Expenses		2,765
Net cash provided by operating activities		(9,650)
FINANCING ACTIVITIES		
Contributed Capital	en	6,782
Net cash provided by financing activities		6,782
Net cash decrease for period		(2,868)
Cash at beginning of period		30,146
Cash at end of period	\$	27,278

The accompanying notes are an integral part of these finacial statements 5 of 12

Aston Capital Advisors, LLC Statement of Changes in Member's Equity As of December 31, 2015

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Balance January 1, 2015	\$	36,928
Net :Loss	_	(12,415)
Balance December 31, 2015	\$_	24,513

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The accompanying notes are an integral part of these financial statements 6 of 12

Statement of Changes in Liabilities Subordinated to Claims of Creditors

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Not necessary.

Aston Capital Advisors, LLC. Notes to Financial Statements Year Ended December 31, 2015

NOTE 1 – NATURE OF BUSINESS AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Business

Aston Capital Advisors LLC. ("The Company") is a registered securities broker-dealer engaged insecurities sales and trading. The Company does not receive, directly or indirectly, or hold funds or securities for, or owe funds or securities to, customers and does not carry accounts of, or for, customers and does not engage in any of the activities described in paragraphs (a)(2)(i) through (v) of net capital requirements for brokers or dealers SEA rule 15c3-1 and maintains net capital of not less than \$5,000.

Basis of Presentation

The financial statements are prepared in accordance with accounting principles generally accepted in the United States of America ("GAAP"), the more significant of which are summarized below.

Accounting estimates and assumptions

The preparation of financial statements in conformity with accounting principles generally accepted in the U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Cash and cash equivalents

The Company considers all highly liquid investments with a maturity of three months or less when purchased to be cash equivalents.

Leases

We lease office space and equipment under operating lease with related party. The lease term is an annual renewable lease.

Net Capital

There are no overall unfavorable bank account, correspondent account, clearing corporation and securities depository or other reconciliation differences to disclose, and account for in computing net capital.

NOTE 2 – RELATED PARTY

Accrued expenses balance of \$2,765 represents office and overhead expenses due to due to related party. \$1,800 of this relates to unpaid rent expense, \$101 of unpaid overhead, and \$850 for fidelity bond which represents sums due to David J. Staub.

Aston Capital Advisors, LLC. Notes to Financial Statements For the year Ended December 31, 2015

NOTE 3 – SUBSEQUENT EVENTS

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The Company has evaluated all events that occurred after the balance sheet date of December 31, 2015 through February 29, 2016, the date when the financial statements were available to be issued. The Management of the Company determined that there were no reportable events that occurred during that subsequent period to be disclosed or recorded.



Consistency

REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Reliability

To the Member of Aston Capital Advisors, LLC. Los Angeles, California

Trust

We have reviewed management's statements in the Exemption Report dated March 2, 2016 appended hereto. In the "Exemption Report", Aston Capital Advisors LLC states that it met the exemption set forth in 17 C.F.R. § 240.15c3-3(k) (2) (i) throughout 2015. Aston Capital Advisors LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about the Broker's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph 17 C.F.R. § 240.15c3-3(k)(2)(i) of Rule 15c3-3.

/S/ Thayer O'Neal Company, LLC

Sugar Land, TX

February 29, 2016

10 of 12

a member of the Stable Network | www.thayeroneal.com 101 Parklane Boulevard, Suite 201 | Sugar Land, TX 77478 | T: 281.552.8430 | F: 281.552.8431

Exemption Report

To the best knowledge and belief of the Aston Capital Advisors, LLC, the

following statements are true:

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Aston Capital Advisors, LLC claims an exemption under § 240.15c3-3(k) under which the broker or dealer claimed an exemption from § 240.15c3-3.

Aston Capital Advisors, LLC met the identified exemption provisions in § 240.15c3-3(k)(2)(i) throughout the most recent fiscal year without exception.

David J. Staub, manager & member Aston Capital Advisors, LLC

Aston Capital Advisors, LLC Computation of Net Capital December 31, 2015

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Net Capital		
Cash or cash equivalents		\$ 16,556
Certificate of deposit		10,008
Undeposited funds		 714
Total assets		27,278
Less current liabilities		
Member's equity		27,278
Less non-allowable assets - deposit with CRD		 (714)
Allowable assets		26,564
1% Haircut for CD		(100)
Debt		 (2,765)
Net Capital required per rule 15c3-1(a)(2)(vi)		23,699
120% of Reg. Min.		 (5,000)
Excess		\$ 18,699
Reconciliation with Part IIA of Form X-17A-5		
Net capital reproted in Form X-17A-5		\$ 27,278
Reconciling items		 -
Net capital as audited) ;	\$ 27,278
Total assets reported in Form X-17A-5		\$ 27,278
Reconciling items		 -
Total assets as audited		\$ 27,278
Total liabilities reported in Form X-17A-5		\$ 2,765
Reconciling items		-
Total liabilities as audited		\$ 2,765

Accrued expenses balance of \$2,765 represents office and overhead expenses due to due to related party. \$1,800 of this relates to unpaid rent expense, \$101 of unpaid overhead, and \$850 for fidelity bond which represents sums due to David J. Staub.