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**ANNUAL AUDITED REPORT
FORM X-17A-5
PART III**

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Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING January 01, 2015 ENDING December 31, 2015

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: A.J. Sloane & Company, LLC

ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)

623 Fifth Avenue, 28th Floor

<u>New York</u> (City)	<u>New York</u> (State)	<u>10022</u> (Zip Code)
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OFFICIAL USE ONLY
FIRM ID. NO.

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT

Alexander J. Sloane 212-546-6291
(Area Code - Telephone No.)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report*

Janover LLC
(Name - If individual, State Last, First, Middle Name)

<u>100 Quentin Roosevelt Blvd., Suite 516</u> (Address)	<u>Garden City</u> (City)	<u>NY</u> (State)	<u>11530</u> (Zip Code)
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CHECK ONE

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

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* Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the exemption. See section 240, 17a-5(e)(2).

Sec 1410 (6-02)

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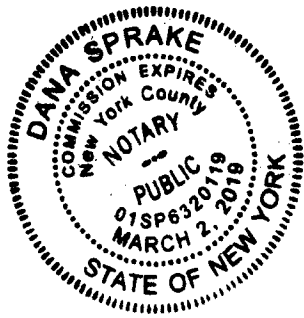
OATH OR AFFIRMATION

I, Alexander J. Sloane swear (or affirm) that, to the best of my knowledge and belief the accompanying financial statement and supporting schedules pertaining to the firm of

A.J. Sloane & Company, LLC, as of

February 26, 2016, are true and correct. I further swear (or affirm) that neither the company nor any partner, proprietor, principal officer or director has any proprietary interest in any account classified solely as that of a customer, except as follows:

Dana Sprake
Notary Public



Alexander J. Sloane Signature
President Title

This Report ** contains (check all applicable boxes):

- (a) Facing Page
- (b) Statement of Financial Condition.
- (c) Statement of Income (Loss)
- (d) Statement of Cash Flows.
- (e) Statement of Changes in Stockholders' Equity or Partners' or Sole Proprietor's Capital.
- (f) Statement of Changes in Liabilities Subordinated to Claims of Creditors.
- (g) Computation of Net Capital.
- (h) Computation for Determination of Reserve Requirements Pursuant to Rule 15c3-3.
- (i) Information Relating to the Possession or control Requirements Under Rule 15c3-3.
- (j) A Reconciliation, including appropriate explanation, of the Computation of Net Capital Under Rule 15c3-1 and the computation for Determination of the Reserve Requirements Under Exhibit A of Rule 15c3-3.
- (k) A Reconciliation between the audited and unaudited Statements of Financial Condition with respect to methods of Consolidation.
- (l) An Oath or Affirmation.
- (m) A copy of the SIPC Supplemental Report.
- (n) A report describing any material inadequacies found to exist or found to have existed since the date of the previous audit.
- (o) Independent Auditor's Report on Internal Control.

** For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).

AJ SLOANE & COMPANY, LLC

Statement of Financial Condition

December 31, 2015

Assets

Cash and cash equivalents	\$	86,221
Due to related party		442,556
Prepaid unincorporated business tax		7,200
Prepaid expenses and other current assets		18,094
	\$	<u>554,071</u>

Liabilities and Member's Capital

Accounts payable and accrued expenses	\$	33,438
Commitments and contingencies		
Member's capital		520,633
	\$	<u>554,071</u>

The accompanying notes are an integral part of the financial statements.

AJ SLOANE & COMPANY, LLC

Notes to Financial Statement

December 31, 2015

1. Business Organization

AJ Sloane & Company, LLC (the "Company") is a New York Limited Liability Company. The Company's Financial Industry Regulatory Authority ("FINRA") application was granted on December 22, 2000. Effective January 11, 2001, the Company commenced operations as a broker-dealer registered with the Securities and Exchange Commission (the "SEC") and became a member of FINRA. The Company derives its income through fees for asset management and related services.

2. Summary of Significant Accounting Policies

Cash and cash equivalents - The Company considers its investments in financial instruments with maturities of less than 90 days when issued to be cash equivalents, which approximates fair value.

Allowance for doubtful accounts - Bad debts are provided for under the allowance method based upon analyses of open accounts and their expected collectibility. As of December 31, 2015, no allowance was deemed necessary.

Fixed assets - Fixed assets are stated at cost and are depreciated using a straight-line method over their estimated useful lives. As of December 31, 2015, all assets are fully depreciated.

Revenue recognition - Fee income is recorded as earned when the Company reaches performance goals based on the underlying management agreements.

Income taxes - The Company is a Limited Liability Company with a single member; therefore, the Company's member includes the operations of the Company in his individual income tax return and will pay all Federal and applicable state taxes associated with the operation of the Company. The Company is subject to New York City unincorporated business tax and has a refund receivable for such taxes at December 31, 2015.

Uncertain tax positions - The Company adopted the provisions of accounting for uncertain tax positions. As a result of the implementation, the Company was not required to recognize any amounts from uncertain tax positions. The Company's conclusions regarding uncertain tax positions may be subject to review and adjustment at a later date based upon ongoing analyses of tax laws, regulations and interpretations thereof as well as other factors. Generally, federal, state and local authorities may examine the Company's tax returns for three years from the date of filing; consequently, the respective tax returns for years prior to 2012 are no longer subject to examination by tax authorities.

AJ SLOANE & COMPANY, LLC

Notes to Financial Statement

December 31, 2015

2. Summary of Significant Accounting Policies *(continued)*

Use of estimates - The preparation of financial statements in conformity with generally accepted accounting principles (GAAP) requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

Valuation of investments - Investments in securities traded on a national securities exchange are stated at the last reported sales price on the day of valuation; other securities traded in the over-the-counter market are stated at the last quoted bid price. The values assigned to these investments do not necessarily represent amounts which might ultimately be realized upon the sale or other disposition since such amounts depend on future circumstances and cannot reasonably be determined until the actual sale or liquidation actually occurs. The Company held no securities at December 31, 2015.

3. Net Capital Requirement

The Company is subject to the SEC Uniform Net Capital Rule (Rule 15c3-1) which requires the maintenance of minimum regulatory net capital, and further requires that the ratio of aggregate indebtedness to regulatory net capital, both as defined, not exceed 15:1. At December 31, 2015, the Company had regulatory net capital of \$52,783, which was \$47,783 in excess of its required minimum regulatory net capital of \$5,000. The Company's ratio of aggregate indebtedness to net capital was 0.63 to 1.

4. Related Party Transactions

The Company shares office space with related parties, AJ Sloane Capital, LLC ("AJS Capital") and Chamberlin Capital, LLC (f/k/a AJ Sloane Partners, LLC), companies whose sole member is the sole member of the Company. The Company leases office space with these related parties on a month-to-month basis. Rent is currently being charged at \$725 per month. Rent expense for the year ended December 31, 2015 was \$8,699.

Due from related party represents an amount due from AJS Capital, LLC for its share of salaries and wages and related payroll expenses for a shared employee, and other overhead charges. As of December 31, 2015, the amount due from this related party was \$442,556.

AJ SLOANE & COMPANY, LLC

Notes to Financial Statement

December 31, 2015

5. Commitments and Contingencies

Litigation - The Company is, from time to time due to the nature of their industry, a party to litigation arising in the ordinary course of its business. Currently, there are no claims outstanding that management believes are likely to have a material adverse effect upon the financial statements of the Company.

6. Concentration of Risk

100% of the Company's fee income for the year ended December 31, 2015 was from one client.

7. Employee Benefit Plan

The Company has a qualified 401(k) plan ("Plan") covering its eligible employees. The Company matches an amount based on percentages of employees' compensation, as outlined in the underlying Plan documents. Participants are fully vested in their deferrals and matching contributions. For the year ended December 31, 2015, the Company has properly paid or accrued matching contributions based on a safe-harbor calculation which was approximately \$2,083.

8. SIPC Information

Pursuant to SEC Rule 17a-5(e)(4), those who are not exempted from the audit requirement of the Rule and whose gross revenues are in excess of \$500,000 are required to file a supplemental independent public accountants' report ("SIPC Supplemental Report") covering Form SIPC-7T. Due to the Company's gross revenues for the year ended December 31, 2015 being below this minimum requirement, the Company is exempt from the SIPC Supplemental Report requirement and only needs to file the original SIPC-7T with applicable payment due no later than sixty days after its year end.

9. Subsequent Events

The Company evaluated subsequent events through February 26, 2016, the date these financial statements were available to be issued. There were no material subsequent events that required recognition or additional disclosure in these financial statements.