

091-20014 *jm*

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

**NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)**

**SEC  
Mail Processing  
Section**

JUL 16 2015

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

**Open-end Management Investment Company**

**Washington DC  
404**

3. Class of New Derivative Securities Product:

**Investment Company Units**

4. Name of Underlying Instrument:

**NASDAQ Hong Kong AlphaDEX Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

**Broad-Based**



15001396

6. Ticker Symbol(s) of New Derivative Securities Product:

**FHK**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

**Hong Kong**

8. Settlement Methodology of New Derivative Securities Product:

**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):

**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Martha Redding**

Title:

**Senior Counsel and Assistant Corporate Secretary**

Telephone Number:

**(212) 656-2938**

Manual Signature of Official Responsible for Form:

*Martha Redding*  
July 15, 2015

July 15, 2015

SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUL 16 2015



Martha Redding  
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SEC  
Mail Processing  
Section  
JUL 16 2015  
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Via Overnight Mail

July 15, 2015

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

Re: **19b-4(e) – First Trust Exchange-Traded AlphaDEX Fund II**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- First Trust Japan AlphaDEX Fund (FJP)**
- First Trust China AlphaDEX Fund (FCA)**
- First Trust Brazil AlphaDEX Fund (FBZ)**
- First Trust South Korea AlphaDEX Fund (FKO)**
- First Trust United Kingdom AlphaDEX Fund (FKU)**
- First Trust Germany AlphaDEX Fund (FGM)**
- First Trust Switzerland AlphaDEX Fund (FSZ)**
- First Trust Hong Kong AlphaDEX Fund (FHK)**
- First Trust Canada AlphaDEX Fund (FCAN)**
- First Trust Taiwan AlphaDEX Fund (FTW)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

  
Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
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