OMB Approval No.: For Internal Use Only Submit 1 Original Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 **FORM 19b-4(e)** Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Mail Processing **Open-end Management Investment Company** Section JUL 16 2015 3. Class of New Derivative Securities Product: Washington DC Investment Company Units <del>404</del> 4. Name of Underlying Instrument: NASDAQ Canada AlphaDEX Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: **FCAN** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Toronto 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Senior Counsel and Assistant Corporate Secretary Telephone Number: Securities Exchange Act of 1934 (212) 656-2938 Act Manual Signature of Official Responsible for Form: 19b-4 Section 19b-4(e) Rule July 15, 2015 Public JUL 1 6 2015 SEC 2449 (1/99) Availability:



Martha Redding Senior Counsel Assistant Corporate Secretary

New York Stock Exchange 11 Walt Street New York, NY 10005 T+1 212 656 2938 F+1 212 656 8101 Martha, Redding @ theice.com

## Via Overnight Mail

July 15, 2015

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC
Mail Processing
Section
JUL 16 2015
Wasnington DC
404

Re: 19b-4(e) - First Trust Exchange-Traded AlphaDEX Fund II

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

First Trust Japan AlphaDEX Fund (FJP)
First Trust China AlphaDEX Fund (FCA)
First Trust Brazil AlphaDEX Fund (FBZ)
First Trust South Korea AlphaDEX Fund (FKO)
First Trust United Kingdom AlphaDEX Fund (FKU)
First Trust Germany AlphaDEX Fund (FGM)
First Trust Switzerland AlphaDEX Fund (FSZ)
First Trust Hong Kong AlphaDEX Fund (FHK)
First Trust Canada AlphaDEX Fund (FCAN)
First Trust Taiwan AlphaDEX Fund (FTW)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
Availability:	JUL 1 6 2015