For Internal Use Only Sec File No. 9Submit 1 Original and 9 Copies OMB APPROVAL OMB Number: 3235-0504 Expires: July 31, 2016 Estimated average burden hours per response.....3.60

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act or East

	READ ALL INSTRUCTIONS PRIOR T	CO COMPL	ETING FORM	Mail Processing Section
Part I	Initial Listing Re	port		JUL 15 2015
1.	Name of Self-Regulatory Organization Listing New Derivative BATS Exchange, Inc.	f-Regulatory Organization Listing New Derivative Securities Product:		Washington DC 404
2.	Type of Issuer of New Derivative Securities Product (e.g., clea See Attached.	aringhouse,	broker-dealer,	corporation, etc.):
3.	Class of New Derivative Securities Product: See Attached.			E T
4.	Name of Underlying Instrument: See Attached.			E 5 E
5.	If Underlying Instrument is an Index, State Whether it is Broa See Attached.	d-Based or 1	Narrow-Based:	
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.			e * eventi Alexandre Superior Alexandre
7.	Market or Markets Upon Which Securities Comprising Under Trades: See Attached.	lying Instru	ment	
8.	Settlement Methodology of New Derivative Securities Product Trades Locked in at Exchange and settled at NSCC.	:		- 15001389
9.	Position Limits of New Derivative Securities Product (if appli Not Applicable.	cable):		1001009
Part IJ	Execution			· · · · · · · · · · · · · · · · · · ·
	The undersigned represents that the governing body of the aborapproved, or has duly delegated its approval to the undersigned derivative securities product according to its relevant trading standards.	d for, the lis	ting and tradin	ig of the above-referenced new
Name	of Official Responsible for Form: Anders Franzon			
Title:	VP, Associate General Counsel			
Teleph	ione Number: 913-815-7154			
Manua	al Signature of Official Responsible for Form:	~	· · ·	change Act of 1934
Date:	July 14, 2015	etion ile	19b-4 19b-4(c)	
SEC 24		<del>blic</del> ailability:	JUL 1 5	2015

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON JULY 9, 2015

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
	• •				
		Investment Company	GaveKal Knowledge Leaders		
KLDW	Trust	Units	Developed World Index	World	Broad
		Investment Company	GaveKal Knowledge Leaders		
KLEM	Trust	Units	Emerging Markets Index	World	Broad
			CEMP US Large Cap High		
		Investment Company	Dividend 100 Volatility		
CDL	Trust	Units	Weighted Index	U.S.	Broad
		Investment Company	CEMP US Small Cap 500		
CSA	Trust	Units	Volatility Weighted Index	U.S.	Broad
	<u> </u>				
			CEMP US Small Cap High		
CSB	Trust	Investment Company Units	Dividend 100 Volatility Weighted Index	U.Ŝ.	Broad
LOD				<u> </u>	

-



July 14, 2015

SEC Mail Processing Section JUL 15 2015 Wasnington DC 404

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

## RE: Form 19b-4(e) - BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding five (5) derivative securities products that commenced trading on BATS Exchange on July 9, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

	1					
4 - W	1934	Act of	Exchange	Securities		Act
				19b-4	m	Section
				19b-4(e)		Rule
·			F 004E	11-11	0	Public
· i			5 2013	JUL 1	ability:	Availab
	al la c					
			5 2015	JUL 1	¢ ability:	Public Availabl

TEL 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM.