

091-199338

SEC

Mail Processing Section

AUG 21 2015

Washington DC 404

RECEIVED

For Internal Use Only
Sec File No. 92015

AUG 24 PM 3:49

SEC / MR

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden hours per response.....3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



15001328

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- Class of New Derivative Securities Product:
Exchange Traded Fund
- Name of Underlying Instrument:
GaveKal Knowledge Leaders Emerging Markets Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:
KLEM
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Listed on: Bovespa, Bursa Malaysia, Hong Kong, Indonesia, Johannesburg Stock Exchange, Mexican Stock Exchange, NASDAQ Stock Market LLC, NYSE, National Stock Exchange Of India, Philippine Stock Exchange, Taiwan Stock Exchange, Tokyo Stock Exchange
- Position Limits of New Derivative Securities Product (if applicable):
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
~~Jean Conley~~ *Colleen Speke*

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:
[Handwritten Signature]

Date: **July 15, 2015**

Act: Securities Exchange Act of 1934
Section: 19b-4
Rule: 19b-4(e)

Public Availability: **AUG 21 2015**