For Internal Use Only Submit 1 Original Sec File No. 91 - · and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I Initial Listing Report 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) SEC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Mail Processing **Open-end Management Investment Company** Section AUD 2 4 2015 : 3. Class of New Derivative Securities Product: Washington DC **Investment Company Units** 4. Name of Underlying Instrument: CEMP International High Dividend 100 Volatility Weighted Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: CID 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Hong Kong, London, ASE, Singapore, Toronto, Euronext Paris, Soc.Bol SIBE, Euronext Amsterdam, SIX Swiss. Stockholm, Euronext Brussels, Tokyo, Borsa Italiana, Oslo, Tel Aviv, Helsinki, Euronext Lisbon 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Senior Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Act Securities Exchange Act of 1934 Section 19b-4 August 21, 2015 Rule 19h-4(e) SEC 2449 (1/99) Public AUG 7 4 2015 Availability:



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Martha Redding Senior Counsel Assistant Secretary

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Via Overnight Mail

August 21, 2015

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC Mail Processing Section

AUB 2 4 2015 Washington DC 404

Re: 19b-4(e) - Compass EMP Funds Trust

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Compass EMP International High Dividend 100 Volatility Weighted Index ETF (CID)
Compass EMP International 500 Volatility Weighted Index ETF (CIL)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

| Act | Securities Exchange Act of 1934 |
|-------------------------|---------------------------------|
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | AUG 2 4 2015 |