For Internal Use Only Submit 1 Original OMB Approval No. Sec File No. 91 and 9 Copies Expires: Estimated average burden hours per response: 2.00 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc. Open-end Management Investment Company SEC 3. Class of New Derivative Securities Product: Mail Processing Investment Company Units Section AUG 2.4 2015 4. Name of Underlying Instrument: CEMP International 500 Volatility Weighted Index Washington DC 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-Based 6. Ticker Symbol(s) of New Derivative Securities Product: CIL 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Xetra, Hong Kong, Singapore, Tokyo, Toronto, ASE, London, New York, Soc.Bol SIBE, Euronext Paris, SIX Swiss, Helsinki, Euronext Amsterdam, Copenhagen, Euronext Brussels, NASDAQ GS, Dublin, Stockholm, Tel Aviv, Oslo, Borsa Italiana, Vienna, Euronext Lisbon 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Title: Senior Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act Section 19b-4 Rule 19b-4(e) August 21, 2015

Public

Availability:

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Martha Redding Senior Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 656 8101 Martha.Redding @ theice.com

Via Overnight Mail

August 21, 2015

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC Mail Processing Section AUG 2.4 2015 Washington DC

Re: 19b-4(e) – Compass EMP Funds Trust

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Compass EMP International High Dividend 100 Volatility Weighted Index ETF (CID)
Compass EMP International 500 Volatility Weighted Index ETF (CIL)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public Availability: AUG 2 4 2015