

091-19730

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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

2015 AUG 21 PM 2:03

SEC / MR

### FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

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#### Part I

#### Initial Listing Report

AUG 21 2015

Washington DC  
404

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
BATS Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.



#### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: August 20, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 21 2015

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.  
 COMMENCING ON AUGUST 20, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
JPNH	Trust	Investment Company Units	JPX-Nikkei 400 Net Total Return USD Hedged Index	World	Broad
DBAU	Trust	Investment Company Units	MSCI Australia US Dollar Hedged Index	World	Broad
DBES	Trust	Investment Company Units	MSCI EAFE Small Cap US Dollar Hedged Index	U.S.	Broad
DBIT	Trust	Investment Company Units	MSCI Italy 25/50 US Dollar Hedged Index	World	Broad
DBSE	Trust	Investment Company Units	MSCI Southern Europe US Dollar Hedged Index	World	Broad
DBSP	Trust	Investment Company Units	MSCI Spain 25/50 US Dollar Hedged Index	World	Broad
OASI	Trust	Investment Company Units	FTSE Developed Asia Pacific Qual/Vol/Yield Factor 5% Capped Index	World	Broad
OEUR	Trust	Investment Company Units	FTSE Developed Europe Qual/Vol/Yield Factor 5% Capped Index	World	Broad
CRAK	Trust	Investment Company Units	Market Vectors Global Oil Refiners Index	World	Broad
CLAW	Trust	Investment Company Units	Dow Jones U.S. Select Home Construction Index	U.S.	Broad
DPST	Trust	Investment Company Units	Solactive Regional Bank Index	U.S.	Broad

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC.  
 COMMENCING ON AUGUST 20, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
NAIL	Trust	Investment Company Units	Dow Jones U.S. Select Home Construction Index	U.S.	Broad
WDRW	Trust	Investment Company Units	Solactive Regional Bank Index	U.S.	Broad



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August 20, 2015

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – BATS Exchange, Inc.**

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding thirteen (13) derivative securities products that commenced trading on BATS Exchange on August 20, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	AUG 21 2015