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OMB APPROVAL

SEC/MR

UNITED STATES Wasnington DC SECURITIES AND EXCHANGE COMMIS**\$04**N Washington, D.C. 20549

# FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

#### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

#### **Initial Listing Report**



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

- 4. Name of Underlying Instrument:
  - Markit iBoxx Global Developed Markets ex-US High Yield (USD Hedged) Index
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- Ticker Symbol(s) of New Derivative Securities Product: **HHYX**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: CME Group, Interbank Market, OTC
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

#### Part II

## Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Jean Gonley DRW Selle

Title:

Secretary

Telephone Number: 301-978-87350

Manual Signature of Official Responsible for Form

Date: August 18, 2015

SEC 2449 (6-01)

Securines Enchange Act of 1934

19b-4(e) Rule

Public

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