091-19100 Mail Processing

For Internal Usen 5 AUG 21 PM 1:57 Only Sec File No. 9-SECIMA

Submit 1 Original and 9 Copies

Section AUG 2.1 2015

OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden

hours per response.....3.60

UNITED STATES Wasnington DC SECURITIES AND EXCHANGE COMMISAION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

# **Initial Listing Report**



1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Actively managed portfolio of securities

If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Not Applicable

6. Ticker Symbol(s) of New Derivative Securities Product: **CLYH** 

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Interbank Market, OTC

8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 3 (cash settled)

Position Limits of New Derivative Securities Product (if applicable): N/A

#### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Joan-Gonfey

Title:

Secretary

Telephone Number: 301-978-8736

Manual Signature of Official Responsible for

Date: August 18, 2015

Socurities Exchange Act of 1934

Section Rule

195-4 19b-4(e)

Act

Public Availability:

AUG 2 1 2015

SEC 2449 (6-01)