

09-1-19067 fr

For Internal Use Only  
Sec File No. 9-RECEIVED

Submit 1 Original  
and 9 Copies

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response. . . . 3.60

2015 JUN -5 PM 12: 23  
SEC / MR

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934  
SEC  
Mail Processing  
Section

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

JUN 05 2015

Washington DC  
404

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGX Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.
- Class of New Derivative Securities Product:  
See Attached.
- Name of Underlying Instrument:  
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC. -
- Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.



Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: June 4, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	JUN 05 2015

ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.  
 COMMENCING ON MAY 28, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
CAPX	Trust	Investment Company Units	S&P 500 Capex Efficiency Index	U.S.	Broad
JDG	Trust	Investment Company Units	WisdomTree Japan Dividend Growth Index	World	Broad
LABU	Trust	Investment Company Units	S&P Biotechnology Select Industry Index	U.S.	Broad
LABD	Trust	Investment Company Units	S&P Biotechnology Select Industry Index	U.S.	Broad
GUSH	Trust	Investment Company Units	S&P Oil & Gas Exploration & Production Select Industry Index	U.S.	Broad
DRIP	Trust	Investment Company Units	S&P Oil & Gas Exploration & Production Select Industry Index	U.S.	Broad
YLCO	Trust	Investment Company Units	Indxx Global YieldCo Index	World	Broad



RECEIVED

2015 JUN -5 PM 12: 23

SEC / MR

SEC  
Mail Processing  
Section

JUN 05 2015

Washington DC  
404

June 4, 2015

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

**RE: Form 19b-4(e) – EDGX Exchange, Inc.**

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seven (7) derivative securities products that commenced trading on EDGX Exchange on May 28, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-10
<b>Public Availability:</b>	JUN 05 2015