For Int	ernal Use C		Submit 1			OMB APPROV	'AL 23	
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		SEC / MR	FORM			· .		
			FORM 1	9D-4(e)				
5000	957	ormation Required of	f a Self-Regulator	y Organization I	listing and '	Frading a New		
	Derivativ	e Securities Product P						
<u>.</u>			STRUCTIONS PI		I FTINC F	ORM SEC		
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Part I			Initial List	ing Report		Section		
			· · ·			JUL 02 2015		
1.	Name of Sel	f-Regulatory Organizati	on Listing New De	rivative Securitie	s Product:			
	BATS Exchange, Inc. VVasnington DC							
2.		er of New Derivative Se	curities Product (<u>e</u>	.g., clearinghouse	e, broker-dea	ler, corporation, etc.):		
	See Attached							
3.		w Derivative Securities I	Product:					
	See Attache					, 		
4.		derlying Instrument:						
	See Attached		C 4 - 4 - 1	Ducal Dead	Norrow D-	cad.		
5.	•	ig Instrument is an Inde	x, state whether it	is proad-based of	i inarrow-Ba	scu.		
	See Attached. Ticker Symbol(s) of New Derivative Securities Product:							
6.	See Attached		securities Product					
7.	See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument							
7.								
8.	Trades: See .	Trades: See Attached. Settlement Methodology of New Derivative Securities Product:						
0.		ed in at Exchange and set						
9.		nits of New Derivative S		if applicable):		and the second		
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Part II			Exec	ution				
	(m) t *			the charge referen	and Salf Da	gulatory Organization has	d	
	approved.	r has duly delegated its	approval to the und	ersigned for, the	listing and tr	ading of the above-reference	nc	
	derivative s	ecurities product accord	ing to its relevant t	rading rules, proc	edures, surv	eillance programs and list	in	
	standards.							
Name	of Official Re	sponsible for Form:	· · · · · · · · · · · · · · · · · · ·	•				
. anno (5ponororo ror r orm.	Anders Franzon			•		
Title:						· · · · · · · · · · · · · · · · · · ·		
	vP, Associate	General Counsel				•		
Teleph	one Number:	······································	· · · · · · · · · · · · · · · · · · ·	· · · · · · · · · · · · · · · · ·				
-		913-815-7154						
Manua	l Signature of	f Official Responsible fo	r Form: 🕷	Act	Securitie	s Exchange Act of 1934		
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Date:			V	Section Rule	<u>19b-4</u> 19b-4(e)			
	July 1, 2015		5		220 1(0)			
	·			Public) 2 2015		
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ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EXCHANGE, INC. COMMENCING ON JUNE 26, 2015

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad <u>or</u> Narrow
HTUS	Trust	Investment Company Units	S&P 500 Index	U.S.	Broad



SEC Mail Processing Section JUL 02 2015 Washington DC 404

July 1, 2015

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

RE: Form 19b-4(e) – BATS Exchange, Inc.

Ms. Jackson,

On behalf of BATS Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on BATS Exchange on June 26, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon VP, Associate General Counsel 913.815.7154

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public	
	JUL 0 2 2015

TEL. 913.815.7000 | FAX. 913.815.7119 | 8050 MARSHALL DRIVE, SUITE 120 | LENEXA, KS 66214-1585 | BATSTRADING.COM