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UNITED STATES

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I 15000929 Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. SEC Chicago Stock Exchange, Inc. 13 Type of Issuer of New Derivative Securities Product (<u>e.g.</u>, clearinghouse, broker-dealer, corporation, etc orporation, etc.): 2. Trust AUG 12 2015 Class of New Derivative Securities Product: 3. Ownership of the Trust Washington DC 4. Name of Underlying Instrument: 404 Newfleet Multi-Sector Unconstrained Bond ETF If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. Narrow-Based 6. Ticker Symbol(s) of New Derivative Securities Product: NFLT 1.168 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. 1.53 The second mī. Various Settlement Methodology of New Derivative Securities Product: \sim 8. See Prospectus 77 Position Limits of New Derivative Securities Product (if applicable): 9. See Prospectus Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly .4 approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing 5. standards. Name of Official Responsible for Form: Michael J. Cardin Title: Director Member Regulation Department Telephone Number: 312-663-2204 Securities Exchange Act of 1934 Act Manual Signature of Official Responsible for Form: ardin Section 196-4 Rule 19b-4(e) Date: Public August 11, 2015 C^{2} AUG 1 2 2015 Availability:

SEC 2449 (6-01)

SEC Mail Processing Section

AUG 12 2015

Washington DC 404

August 11, 2015

By UPS

Ms. Gayle S. Jackson Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

NFLT Newfleet Multi-Sector Unconstrained Bond ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

-Sincerely, ardu

Michael'J. Cardin Director Member Regulation Department

Enclosures

Securities Exchange Act of 1934
<u>19b-4</u> <u>19b-4(e)</u>
AUG 1 2 2015

Chicago Stock Exchange, Inc. One Financial Place 440 S LaSalle Street, Suite 800 Chicago, Illinois 60605-1070 312-663-2222