Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company Class of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company Class of New Derivative Securities Product: Exchange Traded Fund Name of Underlying Instrument: Synott Zacks Junior Gold Miners Index If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based Ticker Symbol(s) of New Derivative Securities Product: SGDJ Market or Markets Upon Which Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product caccording to its relevant trading rules, procedures, surveillance programs and listing standards. Jame of Official Responsible for Form: Joan Conley Title: Secretry Telephone Number: Oil-978-8736 Annual Signature of Official Responsible for Form: Date: April 02, 2015	DEAC			091	-18613m
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Part I Initial Listing Report Name of Self-Regulatory Organization Listing New Derivative Securities Product: 150007f1 The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) 150007f1 2: Type of Issuer of New Derivative Securities Product: 50007f1 2: Class of New Derivative Securities Product: 50007f1 2: Class of New Derivative Securities Product: 50007f1 3: Name of Underlying Instrument: 50007f1 3: Broad-based 50007f1 4: Hunderlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 50007f1 5: Broad-based 50007f1 5: Ticker Symbol(s) of New Derivative Securities Product: 50007f1 Securities Stops 70007f1 7: Boditon Limits of New Derivative Securities Product (if applicable): 7000000000000000000000000000000000000	Info Derivative S	rmation Required of a S Securities Product Pursu	elf-Regulatory Organiza	tion Listing and Tra	ding a New change Act of 1934
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Sprott Zacks Junior Gold Miners Index . If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based . Ticker Symbol(s) of New Derivative Securities Product: SGDJ . Market on Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE . Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) . Position Limits of New Derivative Securities Product (if applicable): NA Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Vame of Official Responsible for Form: Ioan Conley Image: Conlege Title: Secretary Selephone Number: Ioan 22, 2015 Image: Conlege SEC 2449 (6-01) Image: Conlege SEC 2449 (6-01) Image: Conlege Image: April 02, 2015 Image: Conlege SEC 2449 (6-01) Image: Conlege	Exchange Traded Fur	nd			
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