

091-18440 AM

For Internal Use Only
Sec File No. 9-

Submit 1 Original
and 9 Copies

OMB APPROVAL	
OMB Number:	3235-0504
Expires:	July 31, 2016
Estimated average burden hours per response:	3.60

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20540

Mail Processing
Section

FORM 19b-4(e)

MAR 17 2015

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

404

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



15000637

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
EDGA Exchange, Inc.
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
See Attached.
- Class of New Derivative Securities Product:
See Attached.
- Name of Underlying Instrument:
See Attached.
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
See Attached.
- Ticker Symbol(s) of New Derivative Securities Product:
See Attached.
- Market or Markets Upon Which Securities Comprising Underlying Instrument
Trades: See Attached.
- Settlement Methodology of New Derivative Securities Product:
Trades Locked in at Exchange and settled at NSCC.
- Position Limits of New Derivative Securities Product (if applicable):
Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Anders Franzon

Title:

VP, Associate General Counsel

Telephone Number:

913-815-7154

Manual Signature of Official Responsible for Form:

Date:

March 16, 2015

Act	Securities Exchange Act of 1934
Section	19b-4
Public	19b-4(e)
Exemption:	MAR 18 2015

RECEIVED
MAR 18 AM 9:45

ATTACHMENT TO FORM 19b-4(e)
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGA EXCHANGE, INC.
 COMMENCING ON MARCH 11, 2015

<u>(6) Ticker Symbol</u>	<u>(2) Type of Issuer of NDSP</u>	<u>(3) Class of NDSP</u>	<u>(4) Name of Underlying Instrument</u>	<u>(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades</u>	<u>(5) Broad or Narrow</u>
VLLV	Trust	Investment Company Units	Value Line Conservative Equity Index	U.S.	Broad
VLML	Trust	Investment Company Units	Value Line Mid- and Large-Cap High Dividend Index	U.S.	Broad
VLSM	Trust	Investment Company Units	Value Line Small- and Mid-Cap High Dividend Index	U.S.	Broad
HOML	Corporation	Index Linked Notes	ISE Exclusively Homebuilders Index	World	Broad
HOMX	Corporation	Index Linked Notes	ISE Exclusively Homebuilders Index	World	Broad



SEC
Mail Processing
Section

MAR 17 2015

Washington DC
404

RECEIVED

MAR 18 AM 9:45

SEC / MR

March 16, 2015

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – EDGA Exchange, Inc.

Ms. Jackson,

On behalf of EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding five (5) derivative securities products that commenced trading on EDGA Exchange on March 11, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzone
VP, Associate General Counsel
913.815.7154

