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## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504

July 31, 2016 Expires:

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> SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New 14R 202015

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

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READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404 **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. See Attached. Class of New Derivative Securities Product: 3. See Attached. 4. Name of Underlying Instrument: If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See Attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument 7. Trades: See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9: Not Applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: Act Securities Exchange Act of 193 913-815-7154 rection 196-4 Manual Signature of Official Responsible for Form: Ruie 1917-4(0) Fublic Date: MAR 2 0 2015 Availability: March 19, 2015

## ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON MARCH 13, 2015

| (6)         | (2) Type of |                    |                          | (7) Market(s) upon<br>Which Securities | (5) Broad     |
|-------------|-------------|--------------------|--------------------------|--|---------------|
| Ticker      | Issuer of   |                    | (4) Name of Underlying   | Comprising Underlying                  | <u>or</u>     |
| Symbol      | NDSP        | (3) Class of NDSP  | Instrument               | Instrument Trades                      | <u>Narrow</u> |
|             |             |                    |                          |  |               |
|             |             | Investment Company | Barclays December 2017   |  |               |
| IBDJ        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
| · · · · · · |             |                    |                          |  |               |
|             | ٠           |                    | Baralana Baranahan 2010  |  |               |
|             |             | Investment Company | Barclays December 2019   | 11/2-14                                | Drood         |
| IBDK        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
| · .         |             |                    |                          | 4.4                                    |               |
|             |             | Investment Company | Barclays December 2021   |  |               |
| IBDM        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
|             |             |                    |                          |  |               |
| 1           |             | Investment Company | Barclays December 2022   |  |               |
| IBDN        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
|             |             |                    |                          |  |               |
|             |             | Investment Company | Barclays December 2023   |  |               |
| IBDO        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
|             |             |                    |                          |  |               |
|             | ļ           | Investment Company | Barclays December 2024   |  |               |
| IBDP        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |
|             |             |                    |                          |  |               |
|             | -           | Investment Company | Barclays December 2025   |  |               |
| IBDQ        | Trust       | Units              | Maturity Corporate Index | World                                  | Broad         |



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March 19, 2015

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding seven (7) derivative securities products that commenced trading on EDGX Exchange on March 13, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

| Act Securities Exchange  | Act of 1934 |
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| Total  |             |
| Availability: MAR 2 0 2015   |             |
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