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UNITED STATES

1118 MAR 24 PM 1: SECURITIES AND EXCHANGE COMMISSION

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3235-0504

July 31, 2016

SEC / MR

Washington, D.C. 20549

Mail Processing Section

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Product Pursuant to Rule 19b-4(e)

•	READ ALL INSTRUCTIONS PRIOR	TO COMPLETI	NG FORM	
Part I	Initial Listing I	Report		
1.	Name of Self-Regulatory Organization Listing New Derivate EDGX Exchange, Inc.	ive Securities Prod	uct:	
2.	Type of Issuer of New Derivative Securities Product (e.g., c See Attached.	learinghouse, brok	er-dealer, corporation	on, etc.):
3.	Class of New Derivative Securities Product: See Attached.			
4.	Name of Underlying Instrument: See Attached.			15000592
5.	If Underlying Instrument is an Index, State Whether it is Br See Attached.	oad-Based or Narr	ow-Basec	
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.			
7.	Trades: See Attached.		<b>t</b>	:
8.	Settlement Methodology of New Derivative Securities Produ Trades Locked in at Exchange and settled at NSCC.			• • • • •
9.	Position Limits of New Derivative Securities Product (if ap	pplicable):		
	Not Applicable.			
Part I	Executio	<b>on</b>		
	The undersigned represents that the governing body of the approved, or has duly delegated its approval to the undersigned derivative securities product according to its relevant traditional standards.	gned for, the listing	and trading of the	above-referenced new
Name	of Official Responsible for Form: Anders Franzon			
Title:	VP, Associate General Counsel			
	none Number: 913-815-7154	Act	Securities Exchan	ge Act of 1934
Manu	al Signature of Official Responsible for Form:	For the property of the proper	195-4 195-4(6)	
Date:	March 23, 2015	Fablic Availability:	MAR 2 4 2015	
				1

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.
COMMENCING ON MARCH 17, 2015

•		001111	HERONICO OTT INSTITUTE TO THE		· · · · · · · · · · · · · · · · · · ·
				(7) Market(s) upon	
<u>(6)</u>	(2) Type of			Which Securities	(5) Broad
Ticker	Issuer of	,	(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	NDSP	(3) Class of NDSP	<u>Instrument</u>	Instrument Trades	<u>Narrow</u>
l					
		Investment Company	Barclays December 2017		
SDEM	Trust	Units	Maturity Corporate Index	World	Broad
		Investment Company	Barclays December 2019		
SRET	Trust	Units	Maturity Corporate Index	World	Broad



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MAR 242015

Washington DC 404

March 23, 2015

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on EDGX Exchange on March 17, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

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