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2015 JAN 21 AM 10: 44 **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 SEC / TM

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 July 31, 2016 Expires: Estimated average burden hours per response.....3.60

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Information Required of a Self-Regulatory Organization Listing and Trading a NeMN 202015 Information Required of a Self-Regulatory Organization Exchange Act of 1934

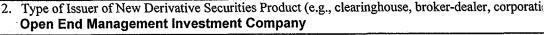
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Initial Listing Report Part I 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

The NASDAQ Stock Market LLC



3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument:

BioShares Biotechnology Clinical Trials Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based

6. Ticker Symbol(s) of New Derivative Securities Product: **BBC**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NASDAQ, NYSE, NYSE MKT

8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II Execution

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

William Slattery

Title:

Vice President

Telephone Number: 1-301-978-8088

Manual Signature of Official Responsible for Form:

Date: December 19, 2014