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| 2015 JAN 22 AM 11: 59 SEC / TM | UNITED STATES SEC SECURITIES AND EXCHANGE COMMIS Processin Washington, D.C. 20549 Section | Expires: August 31, 2010 Estimated average burden hours per response3.60 |
| | FORM 19b-4(e)JAN 222015 | |
| Informati Derivative Secur | ion Required of a Self-Regulatory Organiz W261119GtganDC rac ities Product Pursuant to Rule 19b-4(e) Under the 4G4 urities Exc | ling a New hange Act of 1934 |
| | READ ALL INSTRUCTIONS PRIOR TO COMPLETING FO | DR |
| Part I | Initial Listing Report | |
| 1. Name of Self-Regulatory Orga The NASDAQ Stock Marke | nization Listing New Derivative Securities Product: at LLC (traded pursuant to unlisted trading privileges) | 15000132 |
| 2. Type of Issuer of New Derivat Open End Management In | ive Securities Product (e.g., clearinghouse, broker-dealer, corporation vestment Company | n, etc.): |
| 3. Class of New Derivative Secur Exchange Traded Fund | ities Product: | |
| 4. Name of Underlying Instrumer FTSE Emerging Index | | |
| Broad-based | Index, State Whether it is Broad-Based or Narrow-Based: | |
| 6. Ticker Symbol(s) of New Deri LLEM | vative Securities Product: | |
| Listed on: Bogota, Bovesp Stock Exchange, Lima Stock Philippine Stock Exchange, P Shenzhen, Thailand, Warsaw | ch Securities Comprising Underlying Instrument Trades: Da, Budapest, Bursa Malaysia, CME Group, Istanbul Stock Exchange, Malta, Mercado Continuo Espanol, National Sto Prague, Russian Trading System, Santiago Stock Exchang Intive Securities Product (if applicable): | ock Exchange Of India, |
| Regular way trades settle | on T + 3 (cash settled) | |
| 9. Position Limits of New Deriva | tive Securities Product (if applicable): | · |
| | | |
| Part II | Execution | |
| The undersigned represer approved, or has duly del | nts that the governing body of the above-referenced Self-Regulatory legated its approval to the undersigned for, the listing and trading of product according to its relevant trading rules, procedures, surveillan | the above-referenced |
| The undersigned represer approved, or has duly del new derivative securities standards. Name of Official Responsible for I | nts that the governing body of the above-referenced Self-Regulatory legated its approval to the undersigned for, the listing and trading of product according to its relevant trading rules, procedures, surveillan | the above-referenced nce programs and listing |
| The undersigned represer approved, or has duly del new derivative securities standards. Name of Official Responsible for I Joan Conley Title: | nts that the governing body of the above-referenced Self-Regulatory legated its approval to the undersigned for, the listing and trading of product according to its relevant trading rules, procedures, surveillan | the above-referenced nce programs and listing |
| The undersigned represer approved, or has duly del new derivative securities standards. Name of Official Responsible for I Joan Conley Title: Secretary Telephone Number: | nts that the governing body of the above-referenced Self-Regulatory legated its approval to the undersigned for, the listing and trading of product according to its relevant trading rules, procedures, surveillar Form: | the above-referenced nce programs and listing |
| The undersigned represer approved, or has duly del new derivative securities standards. Name of Official Responsible for I Joan Conley Title: Secretary Telephone Number: 301-978-8735 | nts that the governing body of the above-referenced Self-Regulatory legated its approval to the undersigned for, the listing and trading of product according to its relevant trading rules, procedures, surveillar Form: | the above-referenced nce programs and listing |