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UNITED STATES Washington DC SECURITIES AND EXCHANGE COMMISSIONAL Washington, D.C. 20549

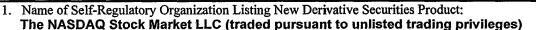
FORM 19b-4(e)

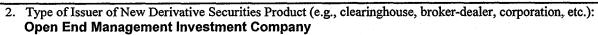
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

## **Initial Listing Report**





- 3. Class of New Derivative Securities Product:
- **Exchange Traded Fund**
- 4. Name of Underlying Instrument:

# **FTSE Emerging Diversified Factor Index**

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

Listed on: Bogota, Bovespa, Budapest, Bursa Malaysia, Indonesia, Istanbul Stock Exchange, Johannesburg Stock Exchange, Lima Stock Exchange, Mexican Stock Exchange, National Stock Exchange Of India, Philippine Stock Exchange, Prague, Russian Trading System, Santiago Stock Exchange, Shanghai Stock Exchange, Shenzhen, Thailand, Warsaw

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

### Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: January 08, 2015

SEC 2449 (6-01)

Securities 1934