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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

SEC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Mail Processing  
Section

Part I

Initial Listing Report

FEB 03 2015

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
EDGX Exchange, Inc.

Washington DC  
404

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
See Attached.

3. Class of New Derivative Securities Product:  
See Attached.



4. Name of Underlying Instrument:  
See Attached.

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
See Attached.

6. Ticker Symbol(s) of New Derivative Securities Product:  
See Attached.

7. Market or Markets Upon Which Securities Comprising Underlying Instrument  
Trades: See Attached.

8. Settlement Methodology of New Derivative Securities Product:  
Trades Locked in at Exchange and settled at NSCC.

9. Position Limits of New Derivative Securities Product (if applicable):  
Not Applicable.

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

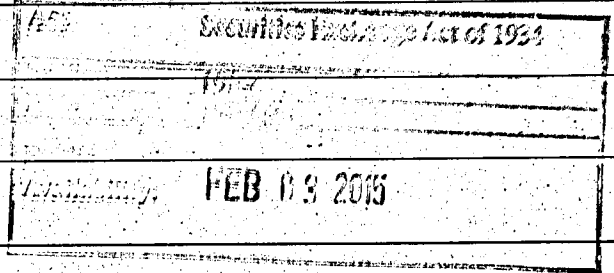
Name of Official Responsible for Form: Anders Franzon

Title: VP, Associate General Counsel

Telephone Number: 913-815-7154

Manual Signature of Official Responsible for Form:

Date: February 2, 2015



ATTACHMENT TO FORM 19b-4(e)  
 FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.  
 COMMENCING ON JANUARY 28, 2015

| <u>(6)</u><br><u>Ticker</u><br><u>Symbol</u> | <u>(2) Type of</u><br><u>Issuer of</u><br><u>NDSP</u> | <u>(3) Class of NDSP</u>    | <u>(4) Name of Underlying</u><br><u>Instrument</u>                                   | <u>(7) Market(s) upon</u><br><u>Which Securities</u><br><u>Comprising Underlying</u><br><u>Instrument Trades</u> | <u>(5) Broad</u><br><u>or</u><br><u>Narrow</u> |
|--|---|-----------------------------|--|--|--|
| SBEU   | Trust   | Investment Company<br>Units | Scientific Beta Developed<br>Europe Multi-Beta Multi-<br>Strategy Equal Weight Index | World  | Broad  |
| SBUS   | Trust   | Investment Company<br>Units | Scientific Beta United States<br>Multi-Beta Multi-Strategy Equal<br>Weight Index     | U.S.   | Broad  |



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February 2, 2015

Ms. Gail Jackson  
Mail Stop 6628  
Securities and Exchange Commission  
100 F Street, N.E.  
Washington, DC 20549

SEC  
Mail Processing  
Section  
FEB 03 2015  
Washington DC  
404

RE: Form 19b-4(e) – EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding two (2) derivative securities products that commenced trading on EDGX Exchange on January 28, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon  
VP, Associate General Counsel  
913.815.7154

