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For Internal Use Only	Submit 1 Original	ОМВ	Approval No.:
Sec File No. 91 - RFCEIVILU	and 9 Copies	Expire	s:
		Estimated	average burden hours per response:
2015 FEB -5 PM 12: 55	UNITED STATES		
SECUR	ITIES AND EXCHANGE		
2015 FEB - 5 PM 12: 55 SEC / TM SECURI	Washington, D.C. 2054 FORM 19b-4(e)	9	
<u> </u>	of a Self-Regulatory Organization	tion Listing and T	rading a New
Derivative Securities Product I			
READ ALL IN	STRUCTIONS PRIOR TO C	OMPLETING FO	DRM
Part I	Initial Listing Report		SEC
1. Name of Self-Regulatory Organization Listing N	ew Derivative Securities Produc	ot:	Mail Processin
NYSE Arca, Inc.			Section
2. Type of Issuer of New Derivative Securities Proc	luct (e.g. clearinghouse, broker	dealer corporation	etc.): FEB 05 2015
Corporation	ude (<u>e.g.,</u> ereminghouse, ereker		Washington DC
Corporation	to the second se		404
3. Class of New Derivative Securities Product:			
Index-Linked Securities			
	·		
4. Name of Underlying Instrument:	The state of the s		
Solactive US Small Cap High Dividend Inde	ex	1	<u> </u>
	E Bridge Bridge	Daniel 2	15000085
5. If Underlying Instrument is an Index, State Whet	ner it is Broad-Based of Narrow	-Daseu.	and the second of the second
Broad		e de la companya del companya de la companya del companya de la co	
6. Ticker Symbol(s) of New Derivative Securities P	roduct:		
SMHD			
7. Market or Markets Upon Which Securities Comp	rising Underlying Instrument 1	rades:	
NISE, NISE WIKI, Nasuaq			
8. Settlement Methodology of New Derivative Secu	rities Product:		
Regular way trades settle on T+3/Book entr	والمرابع والمرابع والمناف والم		
	•		
9. Position Limits of New Derivative Securities Pro	duct (if applicable):		
9. Position Limits of New Derivative Securities Pro Not applicable.	duct (if applicable):		
Not applicable.	9.		
Not applicable. Part II	Execution	egulatory Organiza	tion has duly approved, or has duly
Not applicable. Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis	Execution of the above-referenced Self-R ting and trading of the above-re		
Not applicable. Part II The undersigned represents that the governing body	Execution of the above-referenced Self-R ting and trading of the above-re		
Not applicable. Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis	Execution of the above-referenced Self-R ting and trading of the above-re		
Not applicable. Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis relevant trading rules, procedures, surveillance prog	Execution of the above-referenced Self-R ting and trading of the above-re		
Not applicable. Part H The undersigned represents that the governing body delegated its approval to the undersigned for, the lis relevant trading rules, procedures, surveillance prog	Execution of the above-referenced Self-R ting and trading of the above-re trams and listing standards.		
Not applicable. Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis relevant trading rules, procedures, surveillance prog Name of Official Responsible for Form: Martha Redding	Execution of the above-referenced Self-R ting and trading of the above-re trams and listing standards.		
Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis relevant trading rules, procedures, surveillance prog Name of Official Responsible for Form: Martha Redding Title: Senior Counsel and Assistant Corporate Section 1.	Execution of the above-referenced Self-R ting and trading of the above-re trams and listing standards.	ferenced new deriv	ative securities product according to
Part II The undersigned represents that the governing body delegated its approval to the undersigned for, the lis relevant trading rules, procedures, surveillance prog Name of Official Responsible for Form: Martha Redding Title: Senior Counsel and Assistant Corporate Sector Counsel and Assistant Corporate Sector Counsel Cou	Execution of the above-referenced Self-R ting and trading of the above-re trams and listing standards.	ferenced new deriv	
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RECEIVED 2015,FEB - 5 PM 12: 55 SEC / TM Martha Redding Senior Counsel Assistant Corporate Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T+1 212 656 2938 F+1 212 656 8101 mredding@nyx.com

> SEC Mail Processing Section FEB 0.5 2015

Washington DC 404

February 4, 2015

Via Overnight Mail

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

Re: 19b-4(e) - UBS ETRACS ETNs

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

ETRACS Monthly Pay 2xLeveraged US Small Cap High Dividend ETN due February 6, 2045 (SMHD)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities	Exchange	Act of 1934
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			internspersentation
Availability.	PEB L	J 20 15	