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For Internal Use Only Sec File No. 9- RECEIVED UNITED STATES 2015 JAN -5 PM 1528 UNITED STATES Washington, D.C. 205	OMB APPROVAL OMB Number: 3235-0504 Expires: July 31, 2016 Estimated average burden hours per response3.60
SEC / TM FORM 19b-4	
Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934	
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM SEC	
Part I Initial Listing Re	eport Section
1. Name of Self-Regulatory Organization Listing New Derivative Securitie The NASDAQ Stock Market LLC	es Product: JAN 05 2015 Washington DC
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse Corporation	e, broker-dealer, corporation, etc.): 404
3. Class of New Derivative Securities Product: Exchange Traded Note	
4. Name of Underlying Instrument: Barclays US Treasury 2Y/10Y Yield Curve Index	
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Narrow-Based	
<ol> <li>Ticker Symbol(s) of New Derivative Securities Product: FLAT</li> </ol>	
<ol> <li>Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: CBOT</li> </ol>	
<ol> <li>Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled)</li> </ol>	
<ul> <li>9. Position Limits of New Derivative Securities Product (if applicable):</li> <li>N/A</li> </ul>	
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form: William Slattery	
Title: Vice President	
Telephone Number: 1-301-978-8088	
Manual Signature of Official Responsible for Form: Within Slattery	Act Securities Exchange Act of 1934
Date: December 29, 2014	Rule 195-4
	Public Availability: JAN 05 2014
SEC 2449 (6-01)	