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OMB Number: 3235-0504
Expires: August 31, 2010
Estimated average burden
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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

SEC / TM

FORM 19b-4(e)

**SEC
Mail Processing
Section**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**JAN 12 2015
Washington DC
404**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:**
NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):**
Open End Management Investment Company
- Class of New Derivative Securities Product:**
Exchange Traded Fund
- Name of Underlying Instrument:**
TFMS HIPS 300 Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:**
Broad-based
- Ticker Symbol(s) of New Derivative Securities Product:**
HIPS
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:**
Listed on: NASDAQ Stock Market LLC, NYSE
- Position Limits of New Derivative Securities Product (if applicable):**
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):**
N/A



Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Joan Conley

Title:
Secretary

Telephone Number:
301-978-8735

Manual Signature of Official Responsible for Form:

Date: January 07, 2015

Act	Securities	Act of 1934
19b-4		
Public		
Availability:		