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UNITED STATES

2015 JAN -7 PM 1:54 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SEC / TM

FORM 19b-4(e)

OMB APPROVAL

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Section

Information Required of a Self-Regulatory Organization Listing and Trading a New 06 2015 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchang Washington DC

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM **Initial Listing Report** Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: 1. EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Class of New Derivative Securities Product: 3. See Attached. Name of Underlying Instrument: 4. See Attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: 5. See Attached. Ticker Symbol(s) of New Derivative Securities Product: 6. See Attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: 7. See Attached. Settlement Methodology of New Derivative Securities Product: 8. Trades Locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): 9. Not Applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Act Securifies Exchange Act of 1934 Telephone Number: Section 195-4 913-815-7154 Rule 150-0(c) Manual Signature of Official Responsible for Form Public JAN 06 2014 Availability: Date: January 5, 2015

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC.
COMMENCING ON JANUARY 2, 2015

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
SBIO	Trust	Investment Company Units	Poliwogg Medical Breakthroughs IndexSM	U.S.	Broad



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JAN 06 2015

Washington DC 404

January 5, 2015

Ms. Gail Jackson Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

> RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGX Exchange on January 2, 2015. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

Act JAN GG ZCA