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For Internal ECEIVED Only Sec 2014: NOE 0-18 PH 2: 23 Submit 1 Original and 9 Copies OMB APPROVAL OMB Number: 3235-0504
SEC / TM UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Expires: August 31, 2010 Estimated average burden hours per response3.60 SEC
FORM 19b-4(e) Mail Processing Section
Information Required of a Self-Regulatory Organization Listing and Trading a New 172014 Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934
Wasnington DC READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM 404
Part I Initial Listing Report
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
3. Class of New Derivative Securities Product: Exchange Traded Fund
4. Name of Underlying Instrument: CSI Diversified High Grade Commercial Paper Index
5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based
 Ticker Symbol(s) of New Derivative Securities Product: KCNY
 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Interbank Market, OTC
 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
 Position Limits of New Derivative Securities Product (if applicable): N/A
Part II Execution
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.
Name of Official Responsible for Form: Joan Conley
Title: Secretary
Telephone Number: 301-978-8735
Manual Signature of Official Responsible for Form:
Date: December 04, 2014
SEC 2449 (6-01)