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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SEC  
Mail Process  
Section

NOV 12 2014

FORM 19b-4(e)

Washington DC

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- |   |   |
|---|---|
| 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:<br><b>NASDAQ OMX BX, Inc. (traded pursuant to unlisted trading privileges)</b>   | 2011 NOV 12 PM 1:05<br>RECEIVED<br>SEC / MR |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):<br><b>Open End Management Investment Company</b>  |   |
| 3. Class of New Derivative Securities Product:<br><b>Exchange Traded Fund</b>   |   |
| 4. Name of Underlying Instrument:<br><b>MSCI Emerging Markets Horizon Index</b>   |   |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:<br><b>Broad-based</b>   |   |
| 6. Ticker Symbol(s) of New Derivative Securities Product:<br><b>EMHZ</b>  |   |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:<br><b>Listed on: Abu Dhabi, Athens, Bogota, Budapest, Cairo, Indonesia, Istanbul Stock Exchange, Kuala Lumpur, Lima Stock Exchange, Mexican Stock Exchange, Philippine Stock Exchange, Prague, Qatar, Santiago Stock Exchange, Thailand, Warsaw</b> |   |
| 8. Position Limits of New Derivative Securities Product (if applicable):<br><b>Regular way trades settle on T + 3 (cash settled)</b>  |   |
| 9. Position Limits of New Derivative Securities Product (if applicable):<br><b>N/A</b>  |   |

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Joan Conley**

Title:  
**Secretary**

Telephone Number:  
**301-978-8735**

Manual Signature of Official Responsible for Form:

Date: **October 23, 2014**

*Joan Conley*

Securities Exchange Act of 1934	
Date	11-12-14
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