

091-17872pc

For Internal Use Only

Sec File No. 9-

RECEIVED

2014 JUN -4 AM 9: 52

SEC / MR

Submit 1 Original and 9 Copies

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504
Expires: August 31, 2013
Estimated average burden hours per response: 3.60

SEC
Mail Processing Section
JUN 03 2014
Washington DC
404

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report



- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
Chicago Stock Exchange
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Trust
- Class of New Derivative Securities Product:
Ownership of the Trust
- Name of Underlying Instrument:
iShares Interest Rate Hedged Corporate Bond ETF
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Narrow-Based
- Ticker Symbol(s) of New Derivative Securities Product:
LQDH
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
Various
- Settlement Methodology of New Derivative Securities Product:
See Prospectus
- Position Limits of New Derivative Securities Product (if applicable):
See Prospectus

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Peter D. Santori

Title: Executive Vice President
Chief Compliance Officer, Chief Regulatory Officer

Telephone Number: 312-663-2402

Manual Signature of Official Responsible for Form:

Date: May 30, 2014

Act: Securities Exchange Act of 1934
Section: 19b-4
Rule: 19b-4(c)
Public
Availability: JUN 03 2014



Chicago Stock Exchange

May 30, 2014

By UPS

Ms. Gayle S. Jackson
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE - Stop 7010
Washington, DC 20549

RECEIVED
2014 JUN -1 AM 9:50 SEC
Mail Processing Section
SEC / MR
JUN 03 2014
Washington DC
404

Re: Forms 19b-4(e) for various exchange traded products

Dear Ms. Jackson:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Forms 19b-4(e) relating to the following exchange traded products:

Table with 2 columns: Symbol and Description. Rows include HYGH, IBDF, IBDH, LALT, LQDH, and SPUU with their respective ETF names.

If you have any questions about this filing, please contact me at (312) 663-2402.

Sincerely,

Handwritten signature of Peter D. Santori

Peter D. Santori
Executive Vice President
Chief Compliance Officer
Chief Regulatory Officer

Table with 2 columns: Act/Section/Rule and Public Availability. Contains text: Securities Exchange Act of 1934, Section 19b-4, Rule 19b-4(e), JUN 03 2014

Enclosures