For Internal Use Only. Sec File No. 9- KECEIVED

Submit 1 Original and 9 Copies

UNITED STATES

OMB APPROVAL 3235-0504 OMB Number: August 31, 2010 Expires:

Estimated average burden hours per response ... 3.60

Section

DEC 112014

2014 DEC 12 AM SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 SEC / 188

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 19549ton DC

Part I Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 14011519 Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached. Class of New Derivative Securities Product: See attached. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. If Eder Symbol(s) of New Derivative Securities Product: See attached. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: Vp, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Date:		READ ALL INSTRUCTIONS PRIOR TO COM	PLETING FO	RM				
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: EDGX Exchange, Inc. 14011519 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached. 3. Class of New Derivative Securities Product: See attached. 4. Name of Underlying Instrument: See attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and Settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: Vp, Associate General Counsel Telephone Number: (913) 815-7154 3 Manual Signature of Official Responsible for Form: Date:	<u>.</u>		REFINGTO					
EDGX Exchange, Inc. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): See attached. Class of New Derivative Securities Product: See attached. Name of Underlying Instrument: See attached. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. Ticker Symbol(s) of New Derivative Securities Product: See attached. Market or Markets Upon Which Securities Product: See attached. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible fox Form: Date:	Part I	Initial Listing Report						
See attached. 1. Class of New Derivative Securities Product: See attached. 2. Name of Underlying Instrument: See attached. 3. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 3. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 4. Narket or Markets Upon Which Securities Product: See attached. 5. Market or Markets Upon Which Securities Product: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 4 Manual Signature of Official Responsible for Form: 105-1 105	1.		14011519					
See attached. 4. Name of Underlying Instrument: See attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154	2.							
See attached. 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form:	3.							
See attached. 6. Ticker Symbol(s) of New Derivative Securities Product: See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: 155-1	4.							
See attached. 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: 192-1 Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form:	5.		or Narrow-Base	ed:				
See attached. 8. Settlement Methodology of New Derivative Securities Product: Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: 165-3 Manual Signature of Official Responsible for Form: 165-3 Date: 165-3 Date	6.							
Trades locked in at Exchange and settled at NSCC. 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: 155- Date:	7.							
Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Manual Signature of Official Responsible for Form:	8.							
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: 1934 Date: 1954	9.							
approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Anders Franzon Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Date: 195-1	Part II	t II Execution						
Title: VP, Associate General Counsel Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Date:	approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing							
Telephone Number: (913) 815-7154 Manual Signature of Official Responsible for Form: Date:	Name	Name of Official Responsible for Form: Anders Franzon						
Manual Signature of Official Responsible for Form: Date: Securities Exchange Act of 1934	Title:	VP, Associate General Counsel						
Date: 195-4	}	(913) 613-7134	et S	ecurities Exchange Act of 1934				
- 15	Manua	Il Signature of Official Responsible for Form:						
f factoring;	Date: .	December 10, 2014	The second second	nec 1 1 2014				

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON EDGX EXCHANGE, INC. COMMENCING ON DECEMBER 10, 2014

(6) Ticker Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
IBDL	Trust	Investment Company Units	Barclays December 2020 Maturity Corporate Index	World	Broad



RECEIVED

2014 DEC 12 AN 9: 09

SEC / him

SEC
Mail Processing
Section
DEC 112014
Washington DC

December 10, 2014

Ms. Gail Jackson
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) - EDGX Exchange, Inc.

Ms. Jackson,

On behalf of EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on EDGX Exchange on December 10, 2014. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

VP, Associate General Counsel

913.815.7154

H

Act Figure 1934