For Internal Use

Only Sec File No. 92014 NOV 18 PH 3: 23

RECEIVED

Submit 1 Original and 9 Copies

SEC / MR

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

> SEC Mail Processing Section

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1984 1 7 2014

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Washington DC 101

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:

Exchange Traded Fund

4. Name of Underlying Instrument: Actively managed portfolio of ETFs

- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Not Applicable**

- 6. Ticker Symbol(s) of New Derivative Securities Product: GMOM
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: BATS, NASDAQ Stock Market LLC, NYSE Arca
- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Secretary

Telephone Number:

301-978-8735

Manual Signature of Official Responsible for Form:

Date: November 06, 2014

SEC 2449 (6-01)

leg Evelange Act of 1934

Seedlen

195-4 196-4(e)

Public

NOV 17 2014 Availability: